



## Second Hankel Determinant for a Bi-univalent Function Subclass Based Gegenbauer (Ultraspherical) Polynomials

Abdelbaset Zeyani<sup>1</sup>, Abdulmtalb Hussen<sup>2,\*</sup>

<sup>1</sup> *Department of Mathematics and Statistics, Wichita State University, Wichita, KS, USA*

<sup>2</sup> *School of Engineering, Math, and Technology, Navajo Technical University, Crownpoint NM, USA*

<sup>3</sup> *Mathematics Department, College of Education, Al Zintan University, Dirj, Libya*

**Abstract.** In this paper, we aim to establish a new upper bound approximation for the second Hankel determinant utilizing a certain subclass of the class of normalized analytic and bi-univalent functions in the open unit disk  $\mathbb{U}$ . These functions have inverses with a bi-univalent analytic continuation to  $\mathbb{U}$  and are associated with orthogonal polynomials; namely, Gegenbauer polynomials that satisfy subordination conditions on  $\mathbb{U}$ . Finally, we introduce new essential results derived by specializing the parameter  $\tau$  employed in our foundational finding.

**2020 Mathematics Subject Classifications:** 30C45

**Key Words and Phrases:** Gegenbauer (Ultraspherical) Polynomials, Bi-univalent Analytic Functions, Hankel Determinant

### 1. Introduction

The  $n^{\text{th}}$  - degree Gegenbauer (or ultraspherical) polynomials (GPS), denoted here by  $\mathcal{U}_k^{(\beta)}(t)$ , with parameter  $\beta$  at the point  $t$  are recursively defined by

$$\begin{aligned} \mathcal{U}_0^{(\beta)}(t) &= 1, \quad \mathcal{U}_1^{(\beta)}(t) = 2\beta t, \\ \mathcal{U}_k^{(\beta)}(t) &= \frac{1}{k} \left[ 2t(k + \beta - 1) \mathcal{U}_{k-1}^{(\beta)}(t) - (k + 2\beta - 2) \mathcal{U}_{k-2}^{(\beta)}(t) \right], \quad k \geq 2. \end{aligned} \tag{1}$$

These polynomials are orthogonal on the interval  $I = [-1, 1]$  with respect to the weight function  $(1 - t^2)^{\beta - \frac{1}{2}}$ , where  $\beta > -\frac{1}{2}$ . That is, for any two GPS,  $\mathcal{U}_k^{(\beta)}(t)$  and  $\mathcal{U}_l^{(\beta)}(t)$ , with  $k \neq l$ , we have

$$\int_{-1}^1 \mathcal{U}_k^{(\beta)}(t) \mathcal{U}_l^{(\beta)}(t) (1 - t^2)^{\beta - \frac{1}{2}} dt = 0,$$

\*Corresponding author.

DOI: <https://doi.org/10.29020/nybg.ejpam.v18i2.5968>

Email addresses: [abdelbaset.zeyani@wichita.edu](mailto:abdelbaset.zeyani@wichita.edu) (A. Zeyani), [ahussen@navajotech.edu](mailto:ahussen@navajotech.edu) (A. Hussen)

and with the condition that  $l = k$ , we have

$$\int_{-1}^1 \left( \mathcal{U}_k^{(\beta)}(t) \right)^2 (1-t^2)^{\beta-\frac{1}{2}} dt = \frac{\sqrt{\pi} \Gamma(k+2\beta-1)}{2^{1-2\beta} k! \Gamma(\beta) \Gamma(k+\beta-\frac{1}{2})}.$$

For  $\beta > 0$ , a generating function of GPS,  $\mathcal{G}_\beta(t, \zeta)$ , is defined by the form

$$\mathcal{G}_\beta(t, \zeta) = \frac{1}{(1-2t\zeta+\zeta^2)^\beta} = \sum_{k=0}^\infty \mathcal{U}_k^{(\beta)}(t) \zeta^k, \tag{2}$$

where  $t \in I$ ,  $\zeta$  is in the open unit disk  $\mathbb{U} = \{\zeta : \zeta \in \mathbb{C} \text{ and } |\zeta| < 1\}$ , and  $\mathbb{C}$  is, as usual, the set of complex numbers. For a fixed  $t \in I$ ,  $\mathcal{G}_\beta(t, \zeta)$  is analytic in  $\mathbb{U}$  that has a Taylor series expansion given by (2). Evidently, we see that  $\mathcal{G}_\beta(t, \zeta)$  produces no values when  $\beta = 0$ . Therefore, the generating function of GPS is set to be of the form

$$\mathcal{G}_0(t, \zeta) = 1 - \log(1-2t\zeta+\zeta^2) = \sum_{k=0}^\infty \mathcal{U}_k^{(0)}(t) \zeta^k. \tag{3}$$

Note that  $\mathcal{U}_k^{(\beta)}(t)$  are particular solutions of the Gegenbauer differential equation given by

$$(1-t^2) \frac{d^2 y}{dt^2} - (2\beta+1)t \frac{dy}{dt} + k(k+2\beta)y = 0, \tag{4}$$

and when setting

- (i)  $\beta = 1/2$ , equation (4) reduces to the Legendre differential equations, and the GPS reduce to the Legendre polynomials.
- (ii)  $\beta = 1$ , equation (4) reduces to the Chebyshev differential equations, and the GPS reduce to the Chebyshev polynomials of the second kind.

Let  $\mathfrak{A}$  denote the class of all functions of the form

$$f(\zeta) = \zeta + \sum_{n=2}^\infty a_n \zeta^n, \quad (\zeta \in \mathbb{U}), \tag{5}$$

which are analytic in  $\mathbb{U}$  and normalized by these two conditions  $f(0) = 0$  and  $f'(0) = 1$ . Moreover, let  $\mathfrak{S}$  be the subclass of  $\mathfrak{A}$  consisting of all normalized univalent functions of the form (5) which are also univalent in  $\mathbb{U}$ . Two functions,  $f$  and  $g$ , are said to be subordinate ( $f \prec g$ ) if there is an analytic function  $h(\zeta)$  (namely; a Schwarz function) in  $\mathbb{U}$ , such that  $f(\zeta) = g(h(\zeta))$  with  $h(0) = 0$  and  $|h(\zeta)| \leq 1$ . Especially, if the function  $g$  is univalent in  $\mathbb{U}$ , then the following equivalence is valid [1]

$$f(\zeta) \prec g(\zeta) \iff f(0) = g(0)$$

and

$$f(\mathbb{U}) \subset g(\mathbb{U}).$$

The Koebe One-Quarter Theorem [2] states that the image of  $\mathbb{U}$  under every function  $f \in \mathfrak{S}$  contains a disk of radius  $\frac{1}{4}$  and center at the origin; i.e.,  $\mathbb{U}_{\frac{1}{4}}(0) \in f(\mathbb{U})$ . Therefore, every univalent function  $f \in \mathfrak{S}$  has an inverse  $f^{-1} : f(\mathbb{U}) \rightarrow \mathbb{U}$  which satisfies the following conditions:

$$(f^{-1} \circ f)_{(\zeta)} = \zeta \quad (\zeta \in \mathbb{U})$$

and

$$(f \circ f^{-1})_{(\eta)} = \eta \quad \left( |\eta| < r_0(f); \quad r_0(f) \geq \frac{1}{4} \right),$$

where  $f^{-1}$  has the series expansion of the form

$$f^{-1}(\eta) = \eta - a_2 \eta^2 + (2a_2^2 - a_3) \eta^3 - (5a_2^3 - 5a_2 a_3 + a_4) \eta^4 + \dots \tag{6}$$

A function  $f \in \mathfrak{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f$  and  $f^{-1}$  are univalent in  $\mathbb{U}$ . Let  $\Xi$  be denoting the class of bi-univalent functions in  $\mathbb{U}$  given by (5). Herein, we recall the following examples of functions in the bi-univalent function class  $\Xi$  that have apparently revived the study of bi-univalent functions in recent years:

$$f_1(\zeta) = \frac{\zeta}{1-\zeta}, \quad f_2(\zeta) = -\log(1-\zeta), \quad \text{and} \quad f_3(\zeta) = \frac{1}{2} \log\left(\frac{1+\zeta}{1-\zeta}\right),$$

where their inverses are respectively given by

$$f_1^{-1}(\eta) = \frac{\eta}{1+\eta}, \quad f_2^{-1}(\eta) = \frac{e^\eta - 1}{e^\eta}, \quad \text{and} \quad f_3^{-1}(\eta) = \frac{e^{2\eta} - 1}{e^{2\eta} + 1}.$$

However, the familiar Koebe function,  $K(\zeta) = \frac{\zeta}{(1-\zeta)^2}$ , is not a member of the bi-univalent function class  $\Xi$  since it maps the open unit disk  $\mathbb{U} \subset \mathbb{C}$  onto the set  $K(\mathbb{U}) = \mathbb{C} \setminus (-\infty, -\frac{1}{4}]$ , which does not contain  $\mathbb{U}$  (i.e.,  $\{\eta : \eta \in \mathbb{C} \text{ and } |\eta| \leq \frac{1}{4}\} \subseteq K(\mathbb{U})$ ) (see [3–11]). Other common univalent functions in  $\mathfrak{S}$  that are not members of  $\Xi$  are

$$\vartheta_1(\zeta) = \frac{\zeta}{1-\zeta^2} \quad \text{and} \quad \vartheta_2(\zeta) = \zeta - \frac{\zeta^2}{2}.$$

Historically speaking, certain subclasses of  $\Xi$  were introduced by Brannan and Taha (see [12]) similar to the familiar subclasses  $\mathfrak{S}^*(\varepsilon)$  and  $\mathcal{K}(\varepsilon)$  of star-like and convex functions of order  $\varepsilon \in [0, 1)$  in the open unit disk  $\mathbb{U}$ , which are respectively defined by

$$\mathfrak{S}^*(\varepsilon) = \left\{ f : f \in \mathfrak{S} \quad \text{and} \quad \Re \left\{ \frac{\zeta f'(\zeta)}{f(\zeta)} \right\} > \varepsilon, \quad \zeta \in \mathbb{U} \right\},$$

and

$$\mathcal{K}(\varepsilon) = \left\{ f : f \in \mathfrak{S} \quad \text{and} \quad \Re \left\{ 1 + \frac{\zeta f''(\zeta)}{f'(\zeta)} \right\} > \varepsilon, \quad \zeta \in \mathbb{U} \right\}.$$

Analogously, the bi-star-like and bi-convex function classes  $\mathfrak{S}_{\Xi}^*(\varepsilon)$  and  $\mathcal{K}_{\Xi}(\varepsilon)$  of order  $\varepsilon \in [0, 1)$  in the open unit disk  $\mathbb{U}$ , corresponding to  $\mathfrak{S}^*(\varepsilon)$  and  $\mathcal{K}(\varepsilon)$ , were introduced and studied, and non-sharp upper bound estimations of the initial Taylor-Maclaurin coefficients were obtained as well. In 1976, Noonan and Thomas defined the  $q^{\text{th}}$  Hankel determinant of the function  $f \in \mathfrak{A}$  of the form (5) for integers  $n, q \in \mathbb{N} = \{1, 2, 3, \dots\}$  by [13]

$$H_f(n, q) = \begin{vmatrix} a_n & a_{n+1} & \cdots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \cdots & a_{n+q} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n+q-1} & a_{n+q} & \cdots & a_{n+2q-2} \end{vmatrix}, \quad a_1 := 1.$$

In particular, it is observed that, for  $n = 1, 2$  and  $q = 2$ , the Hankel determinants are given by

$$H_f(1, 2) = \begin{vmatrix} a_1 & a_2 \\ a_2 & a_3 \end{vmatrix} = a_3 - a_2^2 \quad \text{and} \quad H_f(2, 2) = \begin{vmatrix} a_2 & a_3 \\ a_3 & a_4 \end{vmatrix} = a_2 a_4 - a_3^2,$$

which are respectively referred to as the well-known Fekete-Szegő functional and second Hankel determinant functional. Several other authors have considered the determinant  $H_f(n, q)$  in their studies. In [14], for instance, Noor found that the rate of growth of  $H_f(n, q)$  as  $n \rightarrow \infty$  occurs when functions  $f \in \mathfrak{A}$  of the form (5) with bounded boundary. The authors in [14] and [15], in particular, achieved sharp upper bounds on  $H_f(2, 2)$  for several types of function classes. For  $f \in \mathfrak{S}$  in the open unit disk  $\mathbb{U}$ , the authors in [16] obtained the sharp upper inequality for the functional  $H_f(1, 2)$  that is given by  $|H_f(1, 2)| = |a_3 - a_2^2| \leq 1$ . Several authors have recently investigated the upper bounds of  $H_f(1, 2)$  and Taylor-Maclaurin coefficients for various subclasses of bi-univalent functions (see, for examples, [17–30]). Furthermore, the subclass of  $\mathfrak{S}$  consisting of all functions whose derivatives have positive real part, introduced in [31], was considered by the authors of [32] in order to derive the sharp bounds for the functional  $H_f(2, 2)$  that is given by  $|H_f(2, 2)| = |a_2 a_4 - a_3^2| \leq \frac{4}{9}$  for each function belongs to that subclass. In addition, they discovered the sharp second Hankel determinant,  $H_f(2, 2)$ , in (see [32]) for star-like and convex function subclasses,  $\mathfrak{S}^*$  and  $\mathcal{K}$ , of  $\mathfrak{S}$  with bounds of  $|H_f(2, 2)| = |a_2 a_4 - a_3^2| \leq \frac{1}{8}$  and  $|H_f(2, 2)| = |a_2 a_4 - a_3^2| \leq 1$ , respectively. In recent times, several researchers have explored upper bounds for the coefficients and Hankel determinant of functions within different subclasses of univalent functions (see, for examples, [33–36]).

**Definition 1.** Let  $\tau \in [0, 1]$  and  $t \in (\frac{1}{2}, 1]$ . A function  $f \in \Xi$  of the form (5) is said to be in the class  $\Omega_{\Xi}^{\beta}(t, \tau)$  with a nonzero real constant  $\beta$  if the following subordinations are satisfied

$$\tau \left( 1 + \frac{\zeta f''(\zeta)}{f'(\zeta)} \right) + (1 - \tau) \left( \frac{\zeta f'(\zeta)}{f(\zeta)} \right) \prec \mathcal{G}_{\beta}(t, \zeta) \tag{7}$$

and

$$\tau \left( 1 + \frac{\eta g''(\eta)}{g'(\eta)} \right) + (1 - \tau) \left( \frac{\eta g'(\eta)}{g(\eta)} \right) \prec \mathcal{G}_{\beta}(t, \eta), \tag{8}$$

where the function  $g = f^{-1}$  is defined by (6) and  $\mathcal{G}_\beta$  is the GPS - generating function given by (2).

**Remark 1.** [37] By setting  $\tau = 0$  in (1), we obtain the class  $\Omega_{\Xi}^\beta(t, 0) = \Sigma_{\Xi}^\beta(t)$  that consists of functions  $f \in \Xi$  satisfying the conditions

$$\frac{\zeta f'(\zeta)}{f(\zeta)} \prec \mathcal{G}_\beta(t, \zeta) \tag{9}$$

and

$$\frac{\eta g'(\eta)}{g(\eta)} \prec \mathcal{G}_\beta(t, \eta), \tag{10}$$

where the function  $g = f^{-1}$  is defined by (6).

**Remark 2.** [37] By setting  $\tau = 1$  in (1), we obtain the class  $\Omega_{\Xi}^\beta(t, 1) = \mathfrak{D}_{\Xi}^\beta(t)$  that consists of functions  $f \in \Xi$  satisfying the conditions

$$1 + \frac{\zeta f''(\zeta)}{f'(\zeta)} \prec \mathcal{G}_\beta(t, \zeta) \tag{11}$$

and

$$1 + \frac{\eta g''(\eta)}{g'(\eta)} \prec \mathcal{G}_\beta(t, \eta), \tag{12}$$

where the function  $g = f^{-1}$  is defined by (6).

Let  $\mathcal{Q} : \mathbb{U} \rightarrow \mathbb{C}$  be the class of functions  $\mathfrak{s}(\zeta)$  with positive real part consisting of all analytic functions satisfying the conditions that  $\mathfrak{s}(0) = 1$  and  $\Re(\mathfrak{s}(\zeta)) > 0$ . To derive our desirable upper bounds estimation for the second Hankel determinant  $H_f(2, 2) = a_2 a_4 - a_3^2$  associated with the class  $\Omega_{\Xi}^\beta(t, \tau)$  in (1), we state the necessary lemmas:

**Lemma 1.** [38] If the function  $\mathfrak{s} \in \mathcal{Q}$  is defined by

$$\mathfrak{s}(\zeta) = 1 + \sum_{k=1}^{\infty} \mathfrak{s}_k \zeta^k, \tag{13}$$

then

$$|\mathfrak{s}_k| \leq 2, \quad k = 1, 2, \dots$$

**Lemma 2.** [39] If the function  $\mathfrak{s} \in \mathcal{Q}$  is of the form (13), then

$$2\mathfrak{s}_2 = \mathfrak{s}_1^2 + (4 - \mathfrak{s}_1^2) \xi \tag{14}$$

and

$$4\mathfrak{s}_3 = \mathfrak{s}_1^3 + 2(4 - \mathfrak{s}_1^2) \mathfrak{s}_1 \xi - \mathfrak{s}_1(4 - \mathfrak{s}_1^2) \xi^2 + 2(4 - \mathfrak{s}_1^2)(1 - |\xi|^2) \zeta \tag{15}$$

for some  $\xi$  and  $\zeta$  with  $|\xi| \leq 1$  and  $|\zeta| \leq 1$ .

Also by considering the class  $\Delta$  that consists of all analytic functions  $\omega \in \mathbb{U}$  satisfying the conditions that  $\omega(0) = 0$  and  $|\omega(\zeta)| < 1$  for all  $\zeta \in \mathbb{U}$ , we state the following lemma:

**Lemma 3.** [2] Let  $\omega \in \Delta$  with  $\omega(\zeta) = \sum_{k=1}^{\infty} \omega_k \zeta^k$ ,  $\zeta \in \mathbb{U}$ . Then

$$|\omega_1| \leq 1 \quad \text{and} \quad |\omega_k| \leq 1 - |\omega_1|^2 \quad \text{for } k \geq 2.$$

### 2. Second Hankel Determinant

**Theorem 1.** Let the function  $f \in \Xi$  of the form (5) be in the class  $\Omega_{\Xi}^{\beta}(t, \tau)$  in (1). Then

$$|a_2 a_4 - a_3^2| \leq \begin{cases} \mathcal{T}(2^-, t) & \mathcal{E}_1 \geq 0 \quad \text{and} \quad \mathcal{E}_2 \geq 0; \\ \max\left\{\frac{4\beta^2 t^2}{(1+2\tau)^2}, \mathcal{T}(2^-, t)\right\} & \mathcal{E}_1 > 0 \quad \text{and} \quad \mathcal{E}_2 < 0; \\ \frac{4\beta^2 t^2}{(1+2\tau)^2} & \mathcal{E}_1 \leq 0 \quad \text{and} \quad \mathcal{E}_2 \leq 0; \\ \max\{\mathcal{T}(\mathfrak{c}_0, t), \mathcal{T}(2^-, t)\} & \mathcal{E}_1 < 0 \quad \text{and} \quad \mathcal{E}_2 > 0, \end{cases} \quad (16)$$

where

$$\mathcal{T}(2^-, t) = \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^2}{(1+2\tau)^2} + \frac{\mathcal{E}_1 + \mathcal{E}_2}{3(1+3\tau)(1+\tau)^3(1+2\tau)^2}, \quad (17)$$

$$\mathcal{T}(\mathfrak{c}_0, t) = \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^2}{(1+2\tau)^2} - \frac{\mathcal{E}_2^2}{12\mathcal{E}_1(1+3\tau)(1+\tau)^3(1+2\tau)^2}; \quad \mathfrak{c}_0 = \sqrt{-\frac{2\mathcal{E}_2}{\mathcal{E}_1}}, \quad (18)$$

$$\begin{aligned} \mathcal{E}_1 = & 16(1+2\tau)^2 \mathcal{U}_1^{(\beta)}(t) \left| \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) \right) (1+\tau)^2 - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right| \\ & + \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 \left[ 3(1+3\tau)(1+\tau)^3 - 12(1+\tau)^2(1+2\tau)^2 \right] \\ & - 2(1+\tau)(1+2\tau) \mathcal{U}_1^{(\beta)}(t) \left[ 3(1+3\tau) \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 8(1+\tau)(1+2\tau) \mathcal{U}_2^{(\beta)}(t) \right], \end{aligned} \quad (19)$$

$$\begin{aligned} \mathcal{E}_2 = & 12(1+2\tau)^2(1+\tau)^2 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 6(1+\tau)(1+2\tau)(1+3\tau) \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \\ & + 16(1+\tau)^2(1+2\tau)^2 \mathcal{U}_1^{(\beta)}(t) \mathcal{U}_2^{(\beta)}(t) - 6(1+3\tau)(1+\tau)^3 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2, \end{aligned} \quad (20)$$

and  $\mathcal{U}_1^{(\beta)}(t)$ ,  $\mathcal{U}_2^{(\beta)}(t)$ , and  $\mathcal{U}_3^{(\beta)}(t)$  are defined by (1).

*Proof.* Suppose  $f \in \Omega_{\Xi}^{\beta}(t, \tau)$  for some  $\tau \in [0, 1]$ . Then from (7) and (8) we have

$$\tau \left( 1 + \frac{\zeta f''(\zeta)}{f'(\zeta)} \right) + (1 - \tau) \left( \frac{\zeta f'(\zeta)}{f(\zeta)} \right) \prec \mathcal{G}_{\beta}(t, \mathbf{u}(\zeta)) \tag{21}$$

and

$$\tau \left( 1 + \frac{\eta g''(\eta)}{g'(\eta)} \right) + (1 - \tau) \left( \frac{\eta g'(\eta)}{g(\eta)} \right) \prec \mathcal{G}_{\beta}(t, \mathbf{v}(\eta)), \tag{22}$$

where  $g = f^{-1}$  and  $\mathbf{u}, \mathbf{v} \in \Delta$  are given by

$$\mathbf{u}(\zeta) = \sum_{n=1}^{\infty} \mathbf{c}_n \zeta^n \quad \text{and} \quad \mathbf{v}(\eta) = \sum_{n=1}^{\infty} \mathbf{d}_n \eta^n.$$

Then by using  $\mathcal{G}_{\beta}(t, \zeta)$  given in (2), we can write the right hand sides of (21) and (22) as follows:

$$\begin{aligned} \mathcal{G}_{\beta}(t, \mathbf{u}(\zeta)) &= 1 + \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_1 \zeta + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_2 + \mathcal{U}_2^{(\beta)}(t) \mathbf{c}_1^2 \right] \zeta^2 \\ &\quad + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_3 + 2\mathcal{U}_2^{(\beta)}(t) \mathbf{c}_1 \mathbf{c}_2 + \mathcal{U}_3^{(\beta)}(t) \mathbf{c}_1^3 \right] \zeta^3 + \dots \end{aligned} \tag{23}$$

and

$$\begin{aligned} \mathcal{G}_{\beta}(t, \mathbf{u}(\eta)) &= 1 + \mathcal{U}_1^{(\beta)}(t) \mathbf{d}_1 \eta + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{d}_2 + \mathcal{U}_2^{(\beta)}(t) \mathbf{d}_1^2 \right] \eta^2 \\ &\quad + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{d}_3 + 2\mathcal{U}_2^{(\beta)}(t) \mathbf{d}_1 \mathbf{d}_2 + \mathcal{U}_3^{(\beta)}(t) \mathbf{d}_1^3 \right] \eta^3 + \dots \end{aligned} \tag{24}$$

Therefore, (21) and (22) become

$$\begin{aligned} &\tau \left[ 1 + 2 \mathbf{a}_2 \zeta + (6 \mathbf{a}_3 - 4 \mathbf{a}_2^2) \zeta^2 + 2(4 \mathbf{a}_2^3 - 9 \mathbf{a}_2 \mathbf{a}_3 + 6 \mathbf{a}_4) \zeta^3 + \dots \right] \\ &\quad + (1 - \tau) \left[ 1 + \mathbf{a}_2 \zeta + (2 \mathbf{a}_3 - \mathbf{a}_2^2) \zeta^2 + (\mathbf{a}_2^3 - 3 \mathbf{a}_2 \mathbf{a}_3 + 3 \mathbf{a}_4) \zeta^3 + \dots \right] \\ &= 1 + \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_1 \zeta + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_2 + \mathcal{U}_2^{(\beta)}(t) \mathbf{c}_1^2 \right] \zeta^2 \\ &\quad + \left[ \mathcal{U}_1^{(\beta)}(t) \mathbf{c}_3 + 2\mathcal{U}_2^{(\beta)}(t) \mathbf{c}_1 \mathbf{c}_2 + \mathcal{U}_3^{(\beta)}(t) \mathbf{c}_1^3 \right] \zeta^3 + \dots, \end{aligned} \tag{25}$$

and

$$\begin{aligned} & \tau \left[ 1 - 2 a_2 \eta + (8 a_2^2 - 6 a_3) \eta^2 + (-32 a_2^3 + 42 a_2 a_3 - 12 a_4) \eta^3 + \dots \right] \\ & + (1 - \tau) \left[ 1 - a_2 \eta + (3 a_2^2 - 2 a_3) \eta^2 + (-10 a_2^3 + 12 a_2 a_3 - 3 a_4) \eta^3 + \dots \right] \\ & = 1 + \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_1 \eta + \left[ \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_2 + \mathcal{U}_2^{(\beta)}(t) \mathfrak{d}_1^2 \right] \eta^2 \\ & \quad + \left[ \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_3 + 2 \mathcal{U}_2^{(\beta)}(t) \mathfrak{d}_1 \mathfrak{d}_2 + \mathcal{U}_3^{(\beta)}(t) \mathfrak{d}_1^3 \right] \eta^3 + \dots \end{aligned} \tag{26}$$

At this point, the corresponding coefficients in (25) and (26) can be equated to obtain

$$(1 + \tau) a_2 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{c}_1, \tag{27}$$

$$2(1 + 2\tau) a_3 - (1 + 3\tau) a_2^2 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{c}_2 + \mathcal{U}_2^{(\beta)}(t) \mathfrak{c}_1^2, \tag{28}$$

$$(1 + 7\tau) a_2^3 - 3(1 + 5\tau) a_2 a_3 + 3(1 + 3\tau) a_4 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{c}_3 + 2 \mathcal{U}_2^{(\beta)}(t) \mathfrak{c}_1 \mathfrak{c}_2 + \mathcal{U}_3^{(\beta)}(t) \mathfrak{c}_1^3, \tag{29}$$

$$-(1 + \tau) a_2 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_1, \tag{30}$$

$$(3 + 5\tau) a_2^2 - 2(1 + 2\tau) a_3 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_2 + \mathcal{U}_2^{(\beta)}(t) \mathfrak{d}_1^2, \tag{31}$$

and

$$-2(5 + 11\tau) a_2^3 + 6(2 + 5\tau) a_2 a_3 - 3(1 + 3\tau) a_4 = \mathcal{U}_1^{(\beta)}(t) \mathfrak{d}_3 + 2 \mathcal{U}_2^{(\beta)}(t) \mathfrak{d}_1 \mathfrak{d}_2 + \mathcal{U}_3^{(\beta)}(t) \mathfrak{d}_1^3. \tag{32}$$

From (27) and (30), we obtain that

$$\mathfrak{c}_1 = -\mathfrak{d}_1 \tag{33}$$

and

$$a_2 = \frac{\mathcal{U}_1^{(\beta)}(t)}{1 + \tau} \mathfrak{c}_1. \tag{34}$$

Upon subtracting (31) from (28), we have that

$$a_3 = a_2^2 + \frac{\mathcal{U}_1^{(\beta)}(t) (\mathfrak{c}_2 - \mathfrak{d}_2)}{4(1 + 2\tau)} = \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^2}{(1 + \tau)^2} \mathfrak{c}_1^2 + \frac{\mathcal{U}_1^{(\beta)}(t) (\mathfrak{c}_2 - \mathfrak{d}_2)}{4(1 + 2\tau)}. \tag{35}$$

Furthermore, if we subtract (32) from (29), together with (27), (33) and (35), we have

$$\begin{aligned} a_4 = & \frac{5 \left(\mathcal{U}_1^{(\beta)}(t)\right)^2 (\mathfrak{c}_2 - \mathfrak{d}_2) \mathfrak{c}_1}{8(1 + \tau)(1 + 2\tau)} + \frac{\mathcal{U}_1^{(\beta)}(t) (\mathfrak{c}_3 - \mathfrak{d}_3)}{6(1 + 3\tau)} \\ & + \frac{\mathcal{U}_2^{(\beta)}(t) (\mathfrak{c}_2 + \mathfrak{d}_2) \mathfrak{c}_1}{3(1 + 3\tau)} + \left[ \frac{\mathcal{U}_3^{(\beta)}(t)}{3(1 + 3\tau)} + \frac{2(1 + 4\tau) \left(\mathcal{U}_1^{(\beta)}(t)\right)^3}{3(1 + \tau)^3(1 + 3\tau)} \right] \mathfrak{c}_1^3. \end{aligned} \tag{36}$$



Thus, when applying (27), (35), and (36), we can simply establish that

$$\begin{aligned}
 a_2 a_4 - a_3^2 &= \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^3 (\mathfrak{c}_2 - \mathfrak{d}_2) \mathfrak{c}_1^2}{8(1+\tau)^2(1+2\tau)} + \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^2 (\mathfrak{c}_3 - \mathfrak{d}_3) \mathfrak{c}_1}{6(1+\tau)(1+3\tau)} \\
 &+ \frac{\mathcal{U}_1^{(\beta)}(t) \mathcal{U}_2^{(\beta)}(t) (\mathfrak{c}_2 + \mathfrak{d}_2) \mathfrak{c}_1^2}{3(1+\tau)(1+3\tau)} - \frac{\left(\mathcal{U}_1^{(\beta)}(t)\right)^2 (\mathfrak{c}_2 - \mathfrak{d}_2)^2}{16(1+2\tau)^2} \\
 &+ \frac{\mathcal{U}_1^{(\beta)}(t) \left[ \mathcal{U}_3^{(\beta)}(t) (1+\tau)^2 - \left(\mathcal{U}_1^{(\beta)}(t)\right)^3 \right] \mathfrak{c}_1^4}{3(1+3\tau)(1+\tau)^3}.
 \end{aligned} \tag{37}$$

Next, according to Lemma (2), we now have that

$$\mathfrak{c}_2 - \mathfrak{d}_2 = \frac{4 - \mathfrak{c}^2}{2} (\mathfrak{x} - \mathfrak{y}), \tag{38}$$

$$\mathfrak{c}_2 + \mathfrak{d}_2 = \mathfrak{c}_1^2 + \frac{4 - \mathfrak{c}^2}{2} (\mathfrak{x} + \mathfrak{y}), \tag{39}$$

and

$$\begin{aligned}
 \mathfrak{c}_3 - \mathfrak{d}_3 &= \frac{\mathfrak{c}_1^3}{2} + \frac{(4 - \mathfrak{c}^2) \mathfrak{c}_1}{2} (\mathfrak{x} + \mathfrak{y}) - \frac{(4 - \mathfrak{c}_1^2) \mathfrak{c}_1}{4} (\mathfrak{x}^2 + \mathfrak{y}^2) \\
 &+ \frac{4 - \mathfrak{c}_1^2}{2} \left[ (1 - |\mathfrak{x}|^2) \zeta - (1 - |\mathfrak{y}|^2) \eta \right],
 \end{aligned} \tag{40}$$

for some  $\mathfrak{x}$ ,  $\mathfrak{y}$ ,  $\zeta$ , and  $\eta$  with  $|\mathfrak{x}| \leq 1$ ,  $|\mathfrak{y}| \leq 1$ ,  $|\zeta| \leq 1$ , and  $|\eta| \leq 1$ . Then, by substituting (38), (39), and (40) into (37), we obtain that

$$\begin{aligned}
 \left| a_2 a_4 - a_3^2 \right| &\leq \frac{\mathcal{U}_1^{(\beta)}(t) \left| \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) \right) (1+\tau)^2 - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right| \mathfrak{c}_1^4 + \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}_1^2) \mathfrak{c}_1}{6(1+\tau)(1+3\tau)} \\
 &+ \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^3 (4 - \mathfrak{c}_1^2) \mathfrak{c}_1^2}{16(1+\tau)^2(1+2\tau)} + \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}_1^2) \mathfrak{c}_1^2}{12(1+\tau)(1+3\tau)} + \frac{\mathcal{U}_1^{(\beta)}(t) \mathcal{U}_2^{(\beta)}(t) (4 - \mathfrak{c}_1^2) \mathfrak{c}_1^2}{6(1+\tau)(1+3\tau)} \right] (|\mathfrak{x}| + |\mathfrak{y}|) \\
 &+ \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}_1^2) \mathfrak{c}_1^2}{24(1+\tau)(1+3\tau)} - \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}_1^2) \mathfrak{c}_1}{12(1+\tau)(1+3\tau)} \right] (|\mathfrak{x}|^2 + |\mathfrak{y}|^2) \\
 &+ \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}_1^2)^2}{64(1+2\tau)^2} \right] (|\mathfrak{x}| + |\mathfrak{y}|)^2.
 \end{aligned} \tag{41}$$

Lemma (1) allows us to assume, without any loss of generality, that  $\mathfrak{c} \in [0, 2]$  where  $\mathfrak{c} = |\mathfrak{c}_1|$ . Thus, for  $\delta_1 = |\mathfrak{x}| \leq 1$  and  $\delta_2 = |\mathfrak{y}| \leq 1$ , we can rewrite (41) to be in the

following form:

$$\left| a_2 a_4 - a_3^2 \right| \leq \Upsilon_1 + \Upsilon_2 (\delta_1 + \delta_2) + \Upsilon_3 (\delta_1^2 + \delta_2^2) + \Upsilon_4 (\delta_1 + \delta_2)^2 = \varphi(\delta_1, \delta_2), \quad (42)$$

where

$$\Upsilon_1 = \frac{\mathcal{U}_1^{(\beta)}(t) \left| \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) \right) (1 + \tau)^2 - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right|}{3(1 + 3\tau)(1 + \tau)^3} \mathfrak{c}^4 + \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}^2) \mathfrak{c}}{6(1 + \tau)(1 + 3\tau)} \geq 0, \quad (43)$$

$$\Upsilon_2 = \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^3 (4 - \mathfrak{c}^2) \mathfrak{c}^2}{16(1 + \tau)^2(1 + 2\tau)} + \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}^2) \mathfrak{c}^2}{12(1 + \tau)(1 + 3\tau)} + \frac{\mathcal{U}_1^{(\beta)}(t) \mathcal{U}_2^{(\beta)}(t) (4 - \mathfrak{c}^2) \mathfrak{c}^2}{6(1 + \tau)(1 + 3\tau)} \right] \geq 0, \quad (44)$$

$$\Upsilon_3 = \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}^2) (\mathfrak{c} - 2) \mathfrak{c}}{24(1 + \tau)(1 + 3\tau)} \right] \leq 0, \quad (45)$$

and

$$\Upsilon_4 = \left[ \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2 (4 - \mathfrak{c}^2)^2}{64(1 + 2\tau)^2} \right] \geq 0. \quad (46)$$

Now, we have to maximize the function  $\varphi(\delta_1, \delta_2)$  in (42) on the closed square  $\mathbb{S} = [0, 1] \times [0, 1]$  by investigating the maximum values of  $\varphi(\delta_1, \delta_2)$  in accordance with  $0 < \mathfrak{c} < 2$ ,  $\mathfrak{c} = 0$ , and  $\mathfrak{c} = 2$ . For the case that  $0 < \mathfrak{c} < 2$ , since  $\Upsilon_3 < 0$  and  $\Upsilon_3 + 2\Upsilon_4 > 0$  for all  $t \in (\frac{1}{2}, 1)$ , we deduce that

$$\varphi_{\delta_1 \delta_1} \varphi_{\delta_2 \delta_2} - \varphi_{\delta_1 \delta_2}^2 < 0, \quad \text{for all } \delta_1, \delta_2 \in \mathbb{S}.$$

Therefore, as a result of this, the function  $\varphi$  cannot have a local maximum in the interior of the square  $\mathbb{S}$ . Now, we will explore the maximum value of  $\varphi$  on the boundary of  $\mathbb{S}$ .

**(1)** for  $\delta_1 = 0$  and  $0 \leq \delta_2 \leq 1$  (similarly, for  $\delta_2 = 0$  and  $0 \leq \delta_1 \leq 1$ ),  $\varphi(\delta_1, \delta_2)$  takes the form

$$\psi_1(\delta_2) := \varphi(0, \delta_2) = \Upsilon_1 + \Upsilon_2 \delta_2 + (\Upsilon_3 + \Upsilon_4) \delta_2^2.$$

Next, we will separately discuss the following two cases.

**Case (i):** When  $\Upsilon_3 + \Upsilon_4 \geq 0$ , for  $0 < \delta_2 < 1$ , for any fixed  $\mathfrak{c} \in (0, 2)$ , and for all  $t \in (\frac{1}{2}, 1)$ , it is obvious that  $\psi_1'(\delta_2) = \Upsilon_2 + 2(\Upsilon_3 + \Upsilon_4) \delta_2 > 0$ .

**Case (ii):** When  $\Upsilon_3 + \Upsilon_4 < 0$  and since  $\Upsilon_2 + 2(\Upsilon_3 + \Upsilon_4) \geq 0$ , for  $0 < \delta_2 < 1$ , for any fixed  $\mathfrak{c} \in (0, 2)$ , and for all  $t \in (\frac{1}{2}, 1)$ , it is obvious that  $\Upsilon_2 + 2(\Upsilon_3 + \Upsilon_4) < \Upsilon_2 + 2(\Upsilon_3 + \Upsilon_4) \delta_2 < \Upsilon_2$  and thus  $\psi_1'(\delta_2) = \Upsilon_2 + 2(\Upsilon_3 + \Upsilon_4) \delta_2 > 0$ .

In both cases,  $\psi_1(\delta_2)$  is an increasing function and; therefore, for any fixed  $\mathbf{c} \in (0, 2)$  and  $t \in (\frac{1}{2}, 1)$ , the maximum value of  $\psi_1(\delta_2)$  occurs at  $\delta_2 = 1$  and

$$\max_{\delta_2} \left\{ \psi_1(\delta_2) \right\} = \psi_1(1) = \Upsilon_1 + \Upsilon_2 + \Upsilon_3 + \Upsilon_4. \tag{47}$$

For  $\mathbf{c} = 0$  and  $\mathbf{c} = 2$ , we respectively obtain that

$$\varphi(\delta_1, \delta_2) = \Upsilon_4 \Big|_{\mathbf{c}=0} = \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2}{4(1+2\tau)^2} (\delta_1 + \delta_2)^2$$

and

$$\varphi(\delta_1, \delta_2) = \Upsilon_1 \Big|_{\mathbf{c}=2} = \frac{16 \mathcal{U}_1^{(\beta)}(t) \left| \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) \right) (1+\tau)^2 - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right|}{3(1+3\tau)(1+\tau)^3}. \tag{48}$$

By taking equation (48) and the two mentioned cases in account, for  $0 \leq \delta_2 < 1$ , for any fixed  $\mathbf{c} \in [0, 2]$ , and for all  $t \in (\frac{1}{2}, 1)$ , the maximum value of  $\psi_1(\delta_2)$  is

$$\max_{\delta_2} \left\{ \psi_1(\delta_2) \right\} = \psi_1(1) = \Upsilon_1 + \Upsilon_2 + \Upsilon_3 + \Upsilon_4.$$

**(2)** for  $\delta_1 = 1$  and  $0 \leq \delta_2 \leq 1$  (similarly, for  $\delta_2 = 1$  and  $0 \leq \delta_1 \leq 1$ ),  $\varphi(\delta_1, \delta_2)$  takes the form

$$\psi_2(\delta_2) := \varphi(1, \delta_2) = (\Upsilon_3 + \Upsilon_4) \delta_2^2 + (\Upsilon_2 + 2\Upsilon_4) \delta_2 + \Upsilon_1 + \Upsilon_2 + \Upsilon_3 + \Upsilon_4.$$

Analogous to the previously mentioned cases of  $\Upsilon_3 + \Upsilon_4$ , we conclude that

$$\max_{\delta_2} \left\{ \psi_2(\delta_2) \right\} = \psi_2(1) = \Upsilon_1 + 2\Upsilon_2 + 2\Upsilon_3 + 4\Upsilon_4. \tag{49}$$

Since  $\psi_1(1) \leq \psi_2(1)$  for  $\mathbf{c} \in (0, 2)$  and  $t \in (\frac{1}{2}, 1)$ , we see that

$$\max_{\delta_1, \delta_2} \left\{ \varphi(\delta_1, \delta_2) \right\} = \varphi(1, 1) \tag{50}$$

on the boundary of  $\mathbb{S}$ . Therefore, the maximum value of  $\varphi(\delta_1, \delta_2)$  occurs at  $\delta_1 = 1$  and  $\delta_2 = 1$  in the closed square  $\mathbb{S}$ . Now, for a fixed value of  $t$ , let  $\mathcal{T} : [0, 2] \rightarrow \mathbb{R}$  be the function defined by

$$\mathcal{T}(\mathbf{c}, t) = \max_{\delta_1, \delta_2} \left( \varphi(\delta_1, \delta_2) \right) = \varphi(1, 1) = \Upsilon_1 + 2\Upsilon_2 + 2\Upsilon_3 + 4\Upsilon_4 \tag{51}$$

Upon substituting the expressions of  $\Upsilon_1, \Upsilon_2, \Upsilon_3$ , and  $\Upsilon_4$  into (51), we obtain that

$$\mathcal{T}(\mathbf{c}, t) = \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2}{(1+2\tau)^2} + \frac{\mathcal{E}_1 \mathbf{c}^4 + 4\mathcal{E}_2 \mathbf{c}^2}{48(1+3\tau)(1+\tau)^3(1+2\tau)^2}, \tag{52}$$

where

$$\begin{aligned} \mathcal{E}_1 = & 16(1+2\tau)^2 \mathcal{U}_1^{(\beta)}(t) \left| \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4}\mathcal{U}_1^{(\beta)}(t) \right) (1+\tau)^2 - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right| \\ & + \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 \left[ 3(1+3\tau)(1+\tau)^3 - 12(1+\tau)^2(1+2\tau)^2 \right] \\ & - 2(1+\tau)(1+2\tau) \mathcal{U}_1^{(\beta)}(t) \left[ 3(1+3\tau) \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 8(1+\tau)(1+2\tau)\mathcal{U}_2^{(\beta)}(t) \right] \end{aligned} \tag{53}$$

and

$$\begin{aligned} \mathcal{E}_2 = & 12(1+2\tau)^2(1+\tau)^2 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 6(1+\tau)(1+2\tau)(1+3\tau) \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \\ & + 16(1+\tau)^2(1+2\tau)^2 \mathcal{U}_1^{(\beta)}(t) \mathcal{U}_2^{(\beta)}(t) - 6(1+3\tau)(1+\tau)^3 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2. \end{aligned} \tag{54}$$

By assuming that the function  $\mathcal{T}(\mathbf{c}, t)$  has a maximum value at an interior point  $0 < \mathbf{c} < 2$ , we obtain

$$\frac{d\mathcal{T}}{d\mathbf{c}} = \frac{\mathcal{E}_1 \mathbf{c}^3 + 2 \mathcal{E}_2 \mathbf{c}}{12(1+3\tau)(1+\tau)^3(1+2\tau)^2}. \tag{55}$$

With some calculations, we can examine the sign of  $\frac{d\mathcal{T}}{d\mathbf{c}}$  taking into account the following four cases.

- (i) Suppose that  $\mathcal{E}_1 \geq 0$  and  $\mathcal{E}_2 \geq 0$ , then  $\frac{d\mathcal{T}}{d\mathbf{c}} \geq 0$ ; indicating that  $\mathcal{T}(\mathbf{c}, t)$  is an increasing function. Therefore, we get that

$$\max_{0 < \mathbf{c} < 2} \left\{ \mathcal{T}(\mathbf{c}, t) \right\} = \mathcal{T}(2^-, t) = \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2}{(1+2\tau)^2} + \frac{\mathcal{E}_1 + \mathcal{E}_2}{3(1+3\tau)(1+\tau)^3(1+2\tau)^2}, \tag{56}$$

which means:

$$\max_{0 < \mathbf{c} < 2} \left\{ \max_{\mathbb{S}} \left\{ \varphi(\delta_1, \delta_2) \right\} \right\} = \mathcal{T}(2^-, t).$$

- (ii) Suppose that  $\mathcal{E}_1 > 0$  and  $\mathcal{E}_2 < 0$ , then  $\mathbf{c}_0 = \sqrt{-\frac{2\mathcal{E}_2}{\mathcal{E}_1}}$  is a critical value of the function  $\mathcal{T}(\mathbf{c}, t)$ . By assuming  $\mathbf{c}_0 \in (0, 2)$ , we get that  $\left. \frac{d^2\mathcal{T}}{d\mathbf{c}^2} \right|_{\mathbf{c}=\mathbf{c}_0} > 0$ , that is,  $\mathbf{c} = \mathbf{c}_0$  is a local minimum value of  $\mathcal{T}(\mathbf{c}, t)$ . Thus, the function  $\mathcal{T}(\mathbf{c}, t)$  can not possess a local maximum.

(iii) Suppose that  $\mathcal{E}_1 \leq 0$  and  $\mathcal{E}_2 \leq 0$ , then  $\frac{dT}{dc} \leq 0$ ; indicating that  $\mathcal{T}(c, t)$  is a decreasing function. Thus,

$$\max_{0 < c < 2} \{ \mathcal{T}(c, t) \} = \mathcal{T}(0^+, t) = 4 \Upsilon_4 = \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2}{(1 + 2\tau)^2}. \tag{57}$$

(iv) Suppose that  $\mathcal{E}_1 < 0$  and  $\mathcal{E}_2 > 0$ , then  $c_0$  is a critical value of the function  $\mathcal{T}(c, t)$ . By assuming  $c_0 \in (0, 2)$ , we obtain that  $\left. \frac{d^2 T}{dc^2} \right|_{c=c_0} < 0$ , which means that the function  $\mathcal{T}(c, t)$  has a local maximum occurring at  $c = c_0$ . Thus,

$$\max_{0 < c < 2} \{ \mathcal{T}(c, t) \} = \mathcal{T}(c_0, t), \tag{58}$$

where

$$\mathcal{T}(c_0, t) = \frac{\left( \mathcal{U}_1^{(\beta)}(t) \right)^2}{(1 + 2\tau)^2} - \frac{\mathcal{E}_2^2}{12 \mathcal{E}_1 (1 + 3\tau) (1 + \tau)^3 (1 + 2\tau)^2}.$$

Therefore, the proof of the above Theorem is evidently completed.

Ultimately, we introduce two essential corollaries that obtained from the classes  $\Sigma_{\Xi}^{\beta}(t)$  and  $\Lambda_{\Xi}^{\beta}(t)$ .

**Corollary 1.** Let  $f \in \Xi$  of the form (5) be in the class  $\Omega_{\Xi}^{\beta}(t, 0) = \Lambda_{\Xi}^{\beta}(t)$ . Then

$$| a_2 a_4 - a_3^2 | \leq \begin{cases} \mathcal{T}(2^-, t) & \mathcal{E}^*_1 \geq 0 \text{ and } \mathcal{E}^*_2 \geq 0; \\ \max_t \{ 4\beta^2 t^2, \mathcal{T}(2^-, t) \} & \mathcal{E}^*_1 > 0 \text{ and } \mathcal{E}^*_2 < 0; \\ 4\beta^2 t^2 & \mathcal{E}^*_1 \leq 0 \text{ and } \mathcal{E}^*_2 \leq 0; \\ \max_t \{ \mathcal{T}(c_0, t), \mathcal{T}(2^-, t) \} & \mathcal{E}^*_1 < 0 \text{ and } \mathcal{E}^*_2 > 0, \end{cases} \tag{59}$$

where

$$\mathcal{T}(2^-, t) = 4\beta^2 t^2 + \frac{\mathcal{E}^*_1 + \mathcal{E}^*_2}{3}, \tag{60}$$

$$\mathcal{T}(c_0, t) = 4\beta^2 t^2 - \frac{\mathcal{E}^*_2^2}{12 \mathcal{E}^*_1}; \quad c_0 = \sqrt{-\frac{2 \mathcal{E}^*_2}{\mathcal{E}^*_1}}, \tag{61}$$

$$\begin{aligned} \mathcal{E}^*_1 = 16 \mathcal{U}_1^{(\beta)}(t) & \left| \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right| \\ & - 9 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 - 2 \mathcal{U}_1^{(\beta)}(t) \left[ 3 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 8 \mathcal{U}_2^{(\beta)}(t) \right], \end{aligned} \tag{62}$$

$$\mathcal{E}^*_2 = 2 \mathcal{U}_1^{(\beta)}(t) \left[ 3 \mathcal{U}_1^{(\beta)}(t) + 3 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 8 \mathcal{U}_2^{(\beta)}(t) \right], \tag{63}$$

and  $\mathcal{U}_1^{(\beta)}(t)$ ,  $\mathcal{U}_2^{(\beta)}(t)$ , and  $\mathcal{U}_3^{(\beta)}(t)$  are defined by (1).

**Corollary 2.** Let  $f \in \Xi$  of the form (5) be in the class  $\Omega_{\Xi}^{\beta}(t, 1) = \Sigma_{\Xi}^{\beta}(t)$ . Then

$$|a_2 a_4 - a_3^2| \leq \begin{cases} \mathcal{T}(2^-, t) & \mathcal{D}^*_1 \geq 0 \quad \text{and} \quad \mathcal{D}^*_2 \geq 0; \\ \max_t \left\{ \frac{4\beta^2 t^2}{9}, \mathcal{T}(2^-, t) \right\} & \mathcal{D}^*_1 > 0 \quad \text{and} \quad \mathcal{D}^*_2 < 0; \\ \frac{4\beta^2 t^2}{9} & \mathcal{D}^*_1 \leq 0 \quad \text{and} \quad \mathcal{D}^*_2 \leq 0; \\ \max_t \left\{ \mathcal{T}(\mathfrak{c}_0, t), \mathcal{T}(2^-, t) \right\} & \mathcal{D}^*_1 < 0 \quad \text{and} \quad \mathcal{D}^*_2 > 0, \end{cases} \tag{64}$$

where

$$\mathcal{T}(2^-, t) = \frac{4\beta^2 t^2}{9} + \frac{\mathcal{D}^*_1 + \mathcal{D}^*_2}{864}, \tag{65}$$

$$\mathcal{T}(\mathfrak{c}_0, t) = \frac{4\beta^2 t^2}{9} - \frac{\mathcal{D}^{*2}_2}{3456 \mathcal{D}^*_1}, \quad \mathfrak{c}_0 = \sqrt{-\frac{2\mathcal{D}^*_2}{\mathcal{D}^*_1}}, \tag{66}$$

$$\begin{aligned} \mathcal{D}^*_1 = 144 \mathcal{U}_1^{(\beta)}(t) & \left| 4 \left( \mathcal{U}_3^{(\beta)}(t) + \mathcal{U}_2^{(\beta)}(t) + \frac{1}{4} \mathcal{U}_1^{(\beta)}(t) \right) - \left( \mathcal{U}_1^{(\beta)}(t) \right)^3 \right| \\ & - 336 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 - 144 \mathcal{U}_1^{(\beta)}(t) \left[ \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 4 \mathcal{U}_2^{(\beta)}(t) \right], \end{aligned} \tag{67}$$

$$\mathcal{D}^*_2 = 48 \mathcal{U}_1^{(\beta)}(t) \left[ 5 \mathcal{U}_1^{(\beta)}(t) + 3 \left( \mathcal{U}_1^{(\beta)}(t) \right)^2 + 12 \mathcal{U}_2^{(\beta)}(t) \right], \tag{68}$$

and  $\mathcal{U}_1^{(\beta)}(t)$ ,  $\mathcal{U}_2^{(\beta)}(t)$ , and  $\mathcal{U}_3^{(\beta)}(t)$  are defined by (1).

### 3. Conclusion

In our present study, we have derived the new upper bound estimates and inequalities for the second Hankel determinant,  $H_f(2, 2)$ , of a certain subclass of normalized bi-univalent functions in the open unit disk  $\mathbb{U}$ . The upper bound estimates are determined by using orthogonal ultraspherical polynomials, which provide information about the properties and characteristics of these functions in the context of  $H_f(2, 2)$ . Furthermore, we provide new findings acquired by specializing the parameter  $\tau$  utilized in our analysis.

## Acknowledgements

The authors would like to express their sincere gratitude to the editor and the anonymous reviewers for their insightful and constructive feedback. Their valuable comments and suggestions have significantly contributed to enhancing the quality of this work.

## References

- [1] S. Miller and P. Mocanu. *Differential Subordination: Theory and Applications*. CRC Press, New York, 2000.
- [2] P. L. Duren. *Univalent Functions*, volume 259 of *Grundlehren Math. Wissenschaften*. Springer: Berlin/Heidelberg, Germany, 1983.
- [3] S. Bulut. Coefficient estimates for a class of analytic and bi-univalent functions. *Novi Sad Journal of Mathematics*, 43:59–65, 2013.
- [4] B. A. Frasin. Coefficient bounds for certain classes of bi-univalent functions. *Hacettepe Journal of Mathematics and Statistics*, 43(3):383–389, 2014.
- [5] B. A. Frasin and M. K. Aouf. New subclasses of bi-univalent functions. *Applied Mathematics Letters*, 24(9):1569–1573, 2011.
- [6] I. Aldawish, T. Al-Hawary, and B. A. Frasin. Subclasses of bi-univalent functions defined by frasin differential operator. *Mathematics*, 8(5):783, 2020.
- [7] G. Murugusundaramoorthy, N. Magesh, and V. Prameela. Coefficient bounds for certain subclasses of bi-univalent functions. *Abstract and Applied Analysis*, page 3, 2013.
- [8] Z. Peng, G. Murugusundaramoorthy, and T. Janani. Coefficient estimate of bi-univalent functions of complex order associated with the hohlov operator. *Journal of Complex Analysis*, page 6, 2014.
- [9] H. M. Srivastava, A. K. Mishra, and P. Gochhayat. Certain subclasses of analytic and bi-univalent functions. *Applied Mathematics Letters*, 23(10):1188–1192, 2010.
- [10] F. Yousef, B. A. Frasin, and T. Al-Hawary. Fekete-szegö inequality for analytic and bi-univalent functions subordinate to chebyshev polynomials. *Filomat*, 32(9):3229–3236, 2018.
- [11] F. Yousef, T. Al-Hawary, and G. Murugusundaramoorthy. Fekete-szegö functional problems for some subclasses of bi-univalent functions defined by frasin differential operator. *Afrika Matematika*, 30(3-4):495–503, 2019.
- [12] D. A. Brannan and T. S. Taha. On some classes of bi-univalent functions. *In Mathematical Analysis and Its Applications*, 31(2):70–77, 1986. (Kuwait; February 18-21, 1985) (S.M. Mazhar, A. Hamoui, and N.S. Faour, Editors), pp. 53-60, KFAS Proceedings Series, Vol. 3, Pergamon Press (Elsevier Science Limited), Oxford, 1988; see also *Studia Univ. Babeş-Bolyai Math*.
- [13] J. W. Noonan and D. K. Thomas. On the second hankel determinant of areally mean  $p$ -valent functions. *Trans. Amer. Math. Soc.*, 223:337–346, 1976.
- [14] K. I. Noor. Hankel determinant problem for the class of functions with bounded boundary rotation. *Rev. Roum. Math. Pures Appl.*, 28:731–739, 1983.

- [15] T. Hayami and S. Owa. Generalized hankel determinant for certain classes. *Internat. J. Math. Anal.*, 52:2473–2585, 2010.
- [16] M. Fekete and G. Szegő. Eine bemerkung über ungerade schlichte funktionen. *J. Lond. Math. Soc.*, 8:85–89, 1933.
- [17] T. Al-Hawary, B. A. Frasin, and F. Yousef. Coefficients estimates for certain classes of analytic functions of complex order. *Afrika Matematika*, 29:1265–1271, 2018.
- [18] A. A. Amourah and F. Yousef. Some properties of a class of analytic functions involving a new generalized differential operator. *Boletim da Sociedade Paranaense de Matemática*, 38(6):33–42, 2020.
- [19] A. Hussen. An application of the mittag-leffler-type borel distribution and gegenbauer polynomials on a certain subclass of bi-univalent functions. *Heliyon*, 10(10), 2024.
- [20] A. Hussen and M. Illafe. Coefficient bounds for a certain subclass of bi-univalent functions associated with lucas-balancing polynomials. *Mathematics*, 11(24):4941, 2023.
- [21] A. Hussen, M. Illafe, and A. Zeyani. Fekete-szegő and second hankel determinant for a certain subclass of bi-univalent functions associated with lucas-balancing polynomials. *International Journal of Neutrosophic Science (IJNS)*, 25(03):417–434, 2025.
- [22] A. Hussen, M. S. Madi, and A. M. Abominjil. Bounding coefficients for certain subclasses of bi-univalent functions related to lucas-balancing polynomials. *AIMS Mathematics*, 9(7):18034–18047, 2024.
- [23] A. Hussen and A. Zeyani. Coefficients and fekete-szegő functional estimations of bi-univalent subclasses based on gegenbauer polynomials. *Mathematics*, 11(13):2852, 2023.
- [24] M. Illafe, A. Amourah, and M. Haji Mohd. Coefficient estimates and fekete-szegő functional inequalities for a certain subclass of analytic and bi-univalent functions. *Axioms*, 11(4):147, 2022.
- [25] M. Illafe, A. Hussen, M. H. Mohd, and F. Yousef. On a subclass of bi-univalent functions affiliated with bell and gegenbauer polynomials. *Boletim da Sociedade Paranaense de Matemática*, 43:1–10, 2025.
- [26] M. Illafe, M. H. Mohd, F. Yousef, and S. Supramaniam. A subclass of bi-univalent functions defined by a symmetric q-derivative operator and gegenbauer polynomials. *Eur. J. Pure Appl. Math.*, 17(4):2467–2480, 2024.
- [27] M. Illafe, F. Yousef, M. Haji Mohd, and S. Supramaniam. Initial coefficients estimates and fekete-szegő inequality problem for a general subclass of bi-univalent functions defined by subordination. *Axioms*, 12(3):235, 2023.
- [28] H. Orhan, N. Magesh, and J. Yamini. Bounds for the second hankel determinant of certain bi-univalent functions. *Turkish Journal of Mathematics*, 40:679–687, 2016.
- [29] F. Yousef, S. Alroud, and M. Illafe. A comprehensive subclass of bi-univalent functions associated with chebyshev polynomials of the second kind. *Boletín de la Sociedad Matemática Mexicana*, 26:329–339, 2020.
- [30] F. Yousef, S. Alroud, and M. Illafe. New subclasses of analytic and bi-univalent functions endowed with coefficient estimate problems. *Analysis and Mathematical Physics*, 11:1–12, 2021.



- [31] T. H. MacGregor. Functions whose derivative have a positive real part. *Trans. Am. Math. Soc.*, 104:532–537, 1962.
- [32] A. Janteng, S. A. Halim, and M. Darus. Hankel determinant for starlike and convex functions. *Int. J. Math. Anal.*, 1:619–625, 2007.
- [33] A. A. Amourah, F. Yousef, T. Al-Hawary, and M. Darus. On  $h_3(p)$  hankel determinant for certain subclass of  $p$ -valent functions. *Italian Journal of Pure and Applied Mathematics*, 37:611–618, 2017.
- [34] E. Deniz and L. Budak. Second hankel determinant for certain analytic functions satisfying subordinate condition. *Mathematica Slovaca*, 68(2):463–471, 2018.
- [35] Mohamed Illafe, Maisarah Haji Mohd, Feras Yousef, and Shamani Supramaniam. Bounds for the second hankel determinant of a general subclass of bi-univalent functions. *Int. J. Math. Eng. Manag. Sci.*, 9(5):1226, 2024.
- [36] M. Çağlar, E. Deniz, and H. M. Srivastava. Second hankel determinant for certain subclasses of bi-univalent functions. *Turkish Journal of Mathematics*, 41(3):694–706, 2017.
- [37] A. Amourah, A. Alamoush, and M. Al-Kaseasbeh. Gegenbauer polynomials and bi-univalent functions. *Palest. J. Math.*, 10:625–632, 2021.
- [38] C. Pommerenke. *Univalent Functions*. Vandenhoeck and Ruprecht, Göttingen, 1975.
- [39] U. Grenander and G. Szegö. *Toeplitz Forms and Their Applications*. California Monographs in Mathematical Sciences. University of California Press, Berkeley, 1958.