EUROPEAN JOURNAL OF PURE AND APPLIED MATHEMATICS

Vol. 6, No. 1, 2013, 89-106 ISSN 1307-5543 – www.ejpam.com



# Self-Dual Codes over R<sub>k</sub> and Binary Self-Dual Codes

Steven Dougherty<sup>1</sup>, Bahattin Yıldız<sup>2,\*</sup>, Suat Karadeniz<sup>2</sup>

<sup>1</sup> Department of Mathematics, Scranton University, Scranton, PA, USA

<sup>2</sup> Department of Mathematics, Fatih University, Istanbul, Turkey

**Abstract.** We study self-dual codes over an infinite family of rings, denoted  $R_k$ , which has been recently introduced to the literature. We prove that for each self-dual code over  $R_k$ ,  $k \ge 2$ , there exist a corresponding binary self-dual code, a real unimodular lattice, a complex unimodular lattice, a quaternionic lattice and an infinite family of self-dual codes. We prove the existence of Type II codes of all lengths over  $R_k$ , for  $k \ge 3$ , and we obtain some extremal binary self-dual codes including the extended binary Golay code as the Gray images of self-dual codes over  $R_k$  for some suitable k. The binary self-dual codes obtained from  $R_k$  all have automorphism groups whose orders are a multiple of  $2^k$ .

**2010 Mathematics Subject Classifications**: 94B05 **Key Words and Phrases**: Self-dual codes, codes over rings, extremal codes, lattices

#### 1. Introduction

Self-dual codes are an important class of codes and an extensive literature exists on selfdual codes over finite fields. Self-dual codes over rings have received attention especially with respect to their connection to unimodular lattices and invariant theory; see [4], [9] and [5] for a description and extensive bibliographies. They can also be used to construct designs by using the Assmus-Mattson theorem. In [6], self-dual codes were studied over the ring  $\mathbb{F}_2 + u\mathbb{F}_2$  and they were connected to complex unimodular lattices. In [2], the ring  $\mathbb{F}_2 + u\mathbb{F}_2$ was generalized to  $\Sigma_{2m}$  and self-dual codes over this ring were used to construct quaternionic unimodular lattices and associated Jacobi forms. We shall generalize these rings to an infinite family of rings denoted by  $R_k$  and use these rings to construct binary self-dual codes and real, complex and quaternionic unimodular lattices. Codes over the ring  $R_k$  were first studied in [7].

In the literature there are constructions for extremal binary self-dual codes with automorphism groups of order 2, p (an odd prime),  $p^2$  and pq. As was shown in [7], codes over  $R_k$  are all invariant under a group of automorphisms of size  $2^k$ . This means that self-dual codes

http://www.ejpam.com

© 2013 EJPAM All rights reserved.

<sup>\*</sup>Corresponding author.

Email addresses: doughertys1@scranton.edu (S. Dougherty), byildiz@fatih.edu.tr (B.Yildiz), skaradeniz@fatih.edu.tr (S.Karadeniz)

constructed from  $R_k$  will all have automorphism groups whose orders are a multiple of  $2^k$ . So, we believe that studying self-dual codes over  $R_k$  fills a gap in the literature of binary self-dual codes. We have illustrated several examples at the end of the paper.

The rest of the paper is organized as follows: In Section 2, we will present some definitions and notations about the rings  $R_k$  and about codes over  $R_k$ . In Section 3, we will discuss the projection maps and lifts between  $R_k$  and  $R_{k'}$ , for  $k \neq k'$ , in connection with self-dual codes.

Section 4 will consist of the description of the binary images of self-dual codes over  $R_k$ . In particular, the existence of Type II codes of all lengths over  $R_k$ , for  $k \ge 3$ , and of all even lengths over  $R_2$  will be established. An upper bound on the minimum Lee distance of self-dual codes will also be given.

In Section 5, we will give a characterization of self-dual codes over  $R_k$  of length 1 and 2. In particular, a full characterization of one-generator self-dual codes of length 1 and 2 will be given.

Section 6 will highlight the connection between self-dual codes over  $R_k$  and real, complex, and quaternionic unimodular lattices. We will finish the paper with some examples of extremal binary self-dual codes including the extended binary Golay code obtained from the codes over  $R_k$  for some suitable k.

#### 2. Definitions and Notations

For finite  $k \ge 1$ , we define a family of rings by

$$R_{k} = \mathbb{F}_{2}[u_{1}, u_{2}, \dots, u_{k}] / \langle u_{i}^{2} = 0, u_{i}u_{j} = u_{j}u_{i} \rangle.$$
(1)

We let  $R_{\infty}$  be the ring

$$R_{\infty} = \mathbb{F}_2[u_1, u_2, \ldots] / \langle u_i^2 = 0, u_i u_j = u_j u_i \rangle,$$
(2)

and  $R_0 = \mathbb{F}_2$ .

For all k, finite or infinite,  $R_k$  is a commutative ring. Note that the ring  $R_{\infty}$  is an infinite ring while  $R_k$  is a finite ring for finite values of k.

To describe the elements of  $R_k$  we let, for  $A \subseteq \{1, 2, ..., k\}$ 

$$u_A := \prod_{i \in A} u_i \tag{3}$$

with  $u_{\emptyset} = 1$ . Elements of  $R_k$ , then can be represented as

$$\sum_{A \subseteq \{1,\dots,k\}} c_A u_A, \quad c_A \in \mathbb{F}_2.$$
(4)

It is easily observed that the ring  $R_k$  is local whose maximal ideal is given by  $\langle u_1, u_2, \dots, u_k \rangle$ and  $|R_k| = 2^{(2^k)}$ .  $R_k$  is not a principal ideal ring nor is it a chain ring. But, it is a Frobenius ring. It is shown in [11] that codes over Frobenius rings satisfy MacWilliams theorems. See [11] for other foundational results on codes over Frobenius rings. We say that a linear code of length *n* over  $R_k$  is an  $R_k$ -submodule of  $R_k^n$ . Notice that a code over  $R_\infty$  is an infinite module.

We define the inner product on  $R_k^n$  in the usual way, that is  $[\mathbf{v}, \mathbf{w}]_k = \sum \mathbf{v}_i \mathbf{w}_i$ . The dual  $C^{\perp}$  is defined as  $C^{\perp} = {\mathbf{v} \in R_k^n \mid [\mathbf{v}, \mathbf{w}]_k = 0 \text{ for all } \mathbf{w} \in C}$ . By [11], we know that for finite *k* a linear code *C* over  $R_k$  of length *n* satisfies  $|C||C^{\perp}| = |R_k|^n$ . We say that a code is self-orthogonal if  $C \subseteq C^{\perp}$  and self-dual if  $C = C^{\perp}$ .

We define the Gray map inductively, extending it naturally from the Gray map on  $R_1$  from [6] as follows.

For  $\mathbf{c} \in \mathbb{R}_k^n$ , we can write  $\mathbf{c} = \mathbf{c}_1 + u_k \mathbf{c}_2$  with  $\mathbf{c}_1, \mathbf{c}_2 \in \mathbb{R}_{k-1}^n$ , then we can define

$$\phi_k(\mathbf{c}) = (\phi_{k-1}(\mathbf{c}_2), \phi_{k-1}(\mathbf{c}_1) + \phi_{k-1}(\mathbf{c}_2)),$$

with  $\phi_0$  being the identity map on  $\mathbb{F}_2$ .

The Lee weight of a codeword is the Hamming weight of the image of the codeword under  $\phi_k$ . The Lee distance is defined similarly. It's clear that the Gray map  $\phi_k$  is a linear weight preserving map from  $R_k^n$  to  $\mathbb{F}_2^{2^k n}$  as was shown in [7].

If all the codewords of a self-dual code have doubly-even Lee weight then the code is said to be Type II, otherwise it is said to be Type I.

It is immediate that  $\phi_k$  is one-to-one and that  $w_L(u_A) = 2^{|A|}$  for each  $A \subseteq \{1, 2, ..., k\}$ , see [7] for details.

The complete weight enumerator of a code *C* over  $R_k^n$  is defined as:

$$cwe_C(\mathbf{X}) = \sum_{\mathbf{c}\in C} \prod_{i=1}^n x_{c_i}.$$
(5)

The Hamming weight of a vector **c** is denoted by  $wt(\mathbf{c})$  and is the number of non-zero coordinates of the element. The minimum weight is the minimum of all non-zero weights in the code. We denote the minimum Hamming distance by  $d_H(C)$  and the minimum Lee distance by  $d_L(C)$ . The Hamming weight enumerator is defined as:

$$W_C(x, y) = \sum_{\mathbf{c} \in C} x^{n - wt(\mathbf{c})} y^{wt(\mathbf{c})}.$$
(6)

The Lee weight enumerator is defined to be

$$L_C(z) = \sum_{\mathbf{c} \in C} z^{Le(\mathbf{c})},\tag{7}$$

where  $Le(\mathbf{c})$  is the Lee weight of the codeword  $\mathbf{c}$ . The MacWilliams relations for both of these weight enumerators are given in [7].

#### 3. Projections and Lifts

For  $j \ge k \ge 0$ , define  $\Pi_{j,k} : R_j \to R_k$  by  $\Pi_{j,k}(u_i) = 0$  if i > k and the identity elsewhere. That is  $\Pi_{j,k}$  is the projection of  $R_j$  to  $R_k$ . Note that if  $j \le k$ , then  $\Pi_{j,k}$  is taken to be the identity map on  $R_j$ . We allow j to be  $\infty$  as well and denote this map by  $\Pi_{\infty,k}$ .

If  $C = \prod_{i,k} (C')$  for some C' and  $j \ge k$ , then C' is said to be a lift of C.

**Theorem 1.** Let C be a self-dual code over  $R_i$  then  $\prod_{i,k}(C)$  is a self-orthogonal code over  $R_k$ .

*Proof.* Let  $\mathbf{v} = (v_1, \dots, v_n)$  and  $\mathbf{w} = (w_1, \dots, w_n)$  be vectors in *C*. We have that

$$\Pi_{j,k}(\sum v_i w_i) = \sum (\Pi_{j,k}(v_i)\Pi_{j,k}(w_i)).$$

If  $\sum v_i w_i = 0$  in  $R_j$  then  $\prod_{j,k}(0) = 0$  so  $\langle \prod_{j,k}(v), \prod_{j,k}(w) \rangle_k = 0$ . Therefore the code is self-orthogonal.

The image need not necessarily be self-dual. For example, consider the code  $\langle u_2 \rangle$  in  $R_2$ . This code is self-dual but its image under  $\Pi_{2,1}$  is the zero code which is not self-dual.

**Theorem 2.** Let  $v_1, v_2, ..., v_s$  generate a self-dual code over  $R_k$  (of length 1), then  $v_1, v_2, ..., v_s$  generate a self-dual code over  $R_j$  for all j > k.

*Proof.* Let  $C_j$  be the code generated by  $v_1, v_2, ..., v_s$  over  $R_j$ . We proceed by induction. We know  $C_k$  is a self-dual code by assumption.

Assume  $C_j$  is a self-dual code. We have that  $C_{j+1} = C_j \oplus u_{j+1}C_j$ , where  $C_j \cap u_{j+1}C_j = \emptyset$ . Then we have that  $|C_{j+1}| = |C_j||C_j| = \sqrt{2^{2^j}}\sqrt{2^{2^j}} = \sqrt{2^{2^{j+1}}}$ . Then for vectors  $\mathbf{v}, \mathbf{w}, \mathbf{v}', \mathbf{w}' \in C_j$  we have, since  $C_j$  is self-dual by assumption,

$$[\mathbf{v} + u_{j+1}\mathbf{v}', \mathbf{w} + u_{j+1}\mathbf{w}']_{j+1} = [\mathbf{v}, \mathbf{w}]_j + u_{j+1}[\mathbf{v}, \mathbf{w}']_j + u_{j+1}[\mathbf{v}', \mathbf{w}]_j + u_{j+1}^2[\mathbf{v}', \mathbf{w}']_j = 0.$$

Hence  $C_{j+1}$  is self-dual since it is self-orthogonal and has the proper cardinality. Therefore by mathematical induction  $C_j$  is a self-dual code for all finite *j*.

Next we shall prove that  $C_{\infty}$  is self-dual.

If  $\mathbf{v}, \mathbf{w} \in C_{\infty}$  then there exists j with  $\mathbf{v}, \mathbf{w} \in C_j$  and hence  $[\mathbf{v}, \mathbf{w}]_j = 0$  which implies  $[\mathbf{v}, \mathbf{w}]_{\infty} = 0$ . If  $\mathbf{w} \in C_{\infty}^{\perp}$  then  $\mathbf{w} \in C_j^{\perp}$  for some j which gives that  $\mathbf{w} \in C_j$  and hence in  $C_{\infty}$ . Therefore  $C_{\infty}$  is self-dual.

**Corollary 1.** If C is a self-dual code over  $R_k$  then there exists a self-dual code C' over  $R_j$ , for j > k, with  $\prod_{i,k}(C') = C$ .

Notice that the lifts of a self-dual code are also self-dual as we have defined it, but not all projections are self-dual.

For any ideal *I* of  $R_k$  we have that  $Ann(I) = I^{\perp}$ . The following lemma appears in [7].

**Lemma 1.** The code  $\langle u_i \rangle$  of length 1 is a self-dual code in  $R_k$  for all  $k \ge i$ .

*Proof.* This was proved for finite *k* in [7]. It is true for infinite *k* by Theorem 2.

If *C* and *D* are self-dual codes over  $R_k$  then define  $C \times D$  as  $\{(\mathbf{v}, \mathbf{w}) | \mathbf{v} \in C, \mathbf{w} \in D\}$ . It is easy to see that this code is self-orthogonal and of the proper cardinality. Therefore the code is self-dual.

**Theorem 3.** Self-dual codes over  $R_k$  exist for all lengths and for all  $k \ge 1$ .

*Proof.* The ideal  $I_{u_i} = \langle u_i \rangle$  is a self-dual code of length 1 for all *i*, by Lemma 1. By taking direct products, we conclude that self-dual codes exists for all lengths, for all  $k \ge 1$ .

#### 4. Binary Images

The following is defined in [7]. View  $R_k$  as a vector space over  $\mathbb{F}_2$  with basis  $\{u_A : A \subseteq \{1, 2, \dots, k\}\}$ , and define the Gray map of each  $u_A$  and then extend it linearly to all of  $R_k$ . Fix an ordering on the subsets of  $\{1, 2, \dots, k\}$ , that will be defined recursively as follows:

$$\{1, 2, \dots, k\} = \{1, 2, \dots, k-1\} \cup \{k\}.$$

We can now define the coordinate-wise Gray map. We denote this map by  $\psi_k : R_k \to \mathbb{F}_2^{2^k}$  and define it as follows:

where

$$\psi_k(u_A) = (c_B)_{B \subseteq \{1,2,\dots,k\}},$$

$$c_B = \begin{cases} 1 & \text{if } B \subseteq A \\ 0 & \text{otherwise.} \end{cases}.$$

We then extend  $\psi_k$  linearly to all of  $R_k$  and define the Lee weight of an element in  $R_k$  to be the Hamming weight of its image. We get a linear distance preserving map from  $R_k^n$  to  $\mathbb{F}_2^{2^k n}$ . It follows immediately that

$$w_L(u_A) = 2^{|A|}.$$
 (8)

The map  $\psi_k$  was shown to be equivalent to  $\phi_k$  in [7]. The following lemma also appears in [7].

**Lemma 2.** Let C be a linear code over  $R_k$  of length n. Then

$$\psi_k(C^{\perp}) = (\psi_k(C))^{\perp}$$

where  $(\psi_k(C))^{\perp}$  denotes the ordinary dual of  $\psi_k(C)$  as a binary code.

**Theorem 4.** Let C be a self-dual code over  $R_k$  of length n, then  $\psi_k(C)$  is a binary self-dual code of length  $2^k$ n. If C is a Type II code then  $\psi_k(C)$  is Type II and if C is Type I then  $\psi_k(C)$  is Type I.

*Proof.* If  $C = C^{\perp}$  then by Lemma 2,  $\psi_k(C) = \psi_k(C^{\perp}) = \psi_k(C)^{\perp}$ .

Since  $\psi_k$  is distance preserving, the following corollary immediately follows from the bounds given in [10]. Note that for  $k \ge 2$ , the length of the binary image of a code over  $R_k$  will always be divisible by 4, hence the case  $n \equiv 22 \pmod{24}$  is not possible for the image of an  $R_k$  code. Hence we need not consider that special case for binary codes.

**Corollary 2.** Let  $d_L(n, I)$  and  $d_L(n, II)$  denote the minimum distance of a Type I and Type II code over  $R_k$  of length n, respectively. Then for  $k \ge 2$  we have

$$d_L(n,I), d_L(n,II) \le 4 \left\lfloor \frac{2^{k-2}n}{6} \right\rfloor + 4$$

Another corollary follows from the fact that a self-dual binary code must contain the all 1-vector, and as the pre-image under  $\psi_k$  of the all 1-vector corresponds to the all  $u_1u_2...u_k$ -vector in  $R_k$  we get the following corollary.

**Corollary 3.** Any self-dual code over  $R_k$  must contain the all  $u_1u_2...u_k$ -vector.

**Example 1.** We have seen that  $\langle u_i \rangle$  is a self-dual code of length 1 in  $R_k$  for all k with  $i \leq k$ . Let  $C_k = \langle u_i \rangle$  be the code over  $R_k$ . Then  $\psi_k(C_k)$  is a self-dual code of length  $2^k$  with minimum Hamming distance 2.

It is well known that if a binary Type II code of length n exists, then n must be a multiple of 8. We first show that Type II codes over  $R_k$  of any length exist for all  $k \ge 3$ . Note that, by taking direct sums, it is enough to show that Type II codes of length 1 exist over  $R_k$  for any  $k \ge 3$ . Let  $k \ge 3$ , take the code C over  $R_k$  of length 1 generated by  $\{u_A : 1 \in A, A \ne \{1\}\} \cup \{u_2u_3 \dots u_k\}$ . Note that C can be viewed as an  $\mathbb{F}_2$ -vector space with basis

$$\{u_1u_2, u_1u_3, \ldots, u_1u_2 \ldots u_k, u_2u_3 \ldots u_k\}.$$

Since every basis element is orthogonal to every other basis element, *C* is self-orthogonal. To prove self-duality of *C* we just have to look at the size. The number of subsets of  $\{1, 2, ..., k\}$  that contain 1 properly is  $2^{k-1} - 1$ . Adding the vector  $u_2 u_3 ... u_k$ , we see that  $|C| = 2^{2^{k-1}} = \sqrt{2^{2^k}}$ . So *C* is self-dual. Note that every element of *C* is an  $\mathbb{F}_2$ -linear combination of the  $u_A$  where  $|A| \ge 2$ , so the Lee weight of every codeword is divisible by 4 and the minimum Lee weight of *C* is 4. Thus we have proved the following theorem.

**Theorem 5.** *Type II codes over*  $R_k$  *of all lengths exist for any*  $k \ge 3$ *.* 

The case when k = 1 was resolved in [6]. So we only need to look at the case when k = 2. Note that if *C* is any linear code over  $R_2$  of length *n*, then  $\psi_2(C)$  is a binary linear code of length 4*n*. By the observation about the lengths of binary Type II codes, we know that we should only look for Type II codes of even lengths over  $R_2$ . Again, by taking direct sums if necessary, we only need to look for a Type II code of length 2 over  $R_2$ . Indeed, let *C* be the linear code over  $R_2$  of length 2, generated by the vector  $(1, 1 + u_1u_2)$ . It turns out that *C* is a self-dual code with Lee weight enumerator  $1 + 14z^4 + z^8$ , so it is Type II. In fact the binary image of *C* is an [8, 4, 4] code which is the extended Hamming code. Thus we have proved that following result.

**Theorem 6.** Type II codes exist over R<sub>2</sub> for all even lengths.

Consider the complete weight enumerator of a self-dual code C. It is held invariant by the action of the MacWilliams relations. That is the complete weight enumerator is held invariant by the matrix  $M_k$ , where

$$M_k = \frac{1}{\sqrt{2^{2^k}}} T_k.$$

The matrix  $T_k$  is defined as follows.

Let  $\sum_{A \subseteq \{1,2,\dots,k\}} c_A u_A \in R_k$ . Then  $(c_A)$  can be thought of as a binary vector of length  $2^k$ . Let  $wt(c_A)$  be the Hamming weight of this vector.

Then

$$\chi_1(\sum_{A\subseteq\{1,2,\dots,k\}} c_A u_A) = (-1)^{wt(c_A)}.$$
(9)

Let *T* be a square  $2^{2^k}$  by  $2^{2^k}$  matrix indexed by the elements of  $R_k$  and define

$$T_{a,b} = \chi_a(b) = \chi_1(ab).$$
 (10)

The complete weight enumerator is also held invariant by the action of multiplication by a unit. It is shown in [7] that these actions are all generated by multiplication by the unit  $1 + u_s$  for  $1 \le s \le k$ . Let  $A_s$  be the permutation matrix that gives the permutation  $\alpha \rightarrow (1 + u_s)\alpha$ .

Then the group of invariants of a Type I code over  $R_k$  is

$$G_I = \langle M_k, A_1, \dots, A_s \rangle. \tag{11}$$

Let  $B_k$  be the diagonal matrix indexed by the elements of  $R_k$  with

$$(B_k)_{\alpha} = i^{Le(\alpha)},$$

where  $i^2 = -1$ .

Then the weight enumerator of a Type II code is also held invariant by  $B_k$ . Then the group of invariants of a Type II code over  $R_k$  is

$$G_{II} = \langle M_k, B_k, A_1, \dots, A_s \rangle. \tag{12}$$

The invariants for the Hamming weight enumerator is the same for any ring of order  $2^{2^k}$ . That is, it is held invariant by the matrix  $\frac{1}{\sqrt{2^{2^k}}}\begin{pmatrix} 1 & (2^{2^k} - 1) \\ 1 & -1 \end{pmatrix}$ . The Hamming weight enumerator does not change for Type II codes. It follows that weight enumerator is a polynomial in  $x + (2^{2^k} - 1)y$  and y(x - y). See [8] for details.

The Lee weight enumerator for a code over  $R_k$  is indistinguishable from the Hamming weight enumerator for binary self-dual codes. Therefore, the Lee weight of a Type II code is a polynomial in the weight enumerator of the extended length 8 Hamming code and the extended binary Golay code of length 24. The Lee weight enumerator of a Type I code is a polynomial in  $1 + z^2$  and the weight enumerator of the extended length 8 Hamming code.

#### 5. Self-Dual Codes of Length 1 and 2

### 5.1. Length 1 Self-Dual Codes over R<sub>k</sub>

We first note that if a length 1 code *C*, generated by  $a + u_k b$ , with  $a, b \in R_{k-1}$  is selforthogonal, then we must have that *a* is a non-unit in  $R_{k-1}$ , because if *a* were a unit, then we would have  $(a + u_k b)^2 = a^2 = 1 \neq 0$ .

We will prove that if *a* is a non-unit and *b* is a unit, then  $\langle a + u_k b \rangle$  is a self-dual code. For this we will first introduce the following map:

$$\Psi_k: R_k \to R_{k-1}^2$$

defined by

$$\Psi_k(a + u_k b) = (b, a + b).$$
(13)

It is easy to verify that  $\Psi_k$  is a linear bijection from  $R_k^n$  to  $R_{k-1}^{2n}$  and furthermore it is distance preserving. The following lemma will help us resolve the previous question.

**Lemma 3.** If C is a length 1 code over  $R_k$  generated by  $a + u_k b$  with  $a, b \in R_{k-1}$ , then  $\Psi_k(C)$  is a length 2 code over  $R_{k-1}$  generated by (b, a + b) and (a, a).

*Proof.* We note that  $(x + u_k y)(a + u_k b) = ax + (xb + ay)u_k$  for all  $x, y \in R_{k-1}$  and hence

$$\Psi_k((x+u_ky)(a+u_kb)) = (xb+ay, xb+ay+ax) = x(b, a+b) + y(a, a).$$

Since *x* and *y* are arbitrary elements in  $R_{k-1}$ , we see that  $\Psi_k(C)$  must be generated by (b, a + b) and (a, a).

**Theorem 7.** Let C be the length 1 code over  $R_k$  generated by  $a + u_k b$  where a is a non-unit and b is a unit in  $R_{k-1}$ . Then C is self-dual.

Proof. We first note that  $(a + u_k b)(a + u_k b) = a^2 + u_k(ab + ab) = 0$  since *a* is a non-unit. Therefore, *C* is a self-orthogonal code. By multiplying by *b*, which is a unit, we might assume that *C* is generated by  $a' + u_k$  where a' is a non-unit in  $R_{k-1}$ . Since *C* is self-orthogonal we only need to prove that it has the right cardinality. But now looking at  $\Psi_k(C)$ , we see that by Lemma 3, it is generated by (1, 1 + a') and (a', a'). Since a'(1, 1 + a') = (a', a'), we see that  $\Psi_k(C)$  is actually generated over  $R_{k-1}$  by (1, 1 + a'), and so it has size  $2^{2^{k-1}}$ . But since  $\Psi_k$  is bijective, we see that *C* is a length 1 code over  $R_k$  of size  $2^{2^{k-1}}$  and so it must be self-dual.

Note that by changing the indices of the  $u_i$  if necessary, we can generalize the previous theorem as follows:

**Corollary 4.** Let C be a length 1 code over  $R_k$  generated by  $a + u_i b$  for some i with  $1 \le i \le k$ , where a is a non-unit and b is a unit in  $R_k$ , such that a and b are not  $au_i$ , nor is  $bu_i$  equal to 0, that is,  $u_i$  is not a part of either expression. Then C is a self-dual code.

This gives us a large class of length 1 self-dual codes. Namely, if the generator is a non-unit of the form  $u_i + c$  for some *i*, then the code it generates turns out to be self-dual.

**Theorem 8.** Every self-dual code generated by a single element is generated by an element of the form given in Theorem 7.

*Proof.* We shall prove that if a one-generator code is not of the form described above, i.e., if every set in the support of the generator contains at least two elements, then it cannot generate a self-dual code over  $R_k$ . To prove this, we let a be a non-unit in  $R_k$  with  $k \ge 2$  and every component in a is of the form  $u_A$  with  $|A| \ge 2$ . We will prove that  $C = \langle a \rangle$  cannot be self-dual. Of course, C is self-orthogonal, giving that  $C \subseteq C^{\perp}$ . To prove that C is not self-dual, we will exhibit an element in  $C^{\perp}$  that is not in C. We let  $u_B$  be an element with minimal  $B \ne \emptyset$  such that  $a \cdot u_B = 0$ . For example, if  $a = u_1u_2$ , we can choose  $u_B$  to be  $u_1$  or  $u_2$ . If  $a = u_1u_2 + u_3u_4$ , then we can choose  $u_B$  to be  $u_1u_3$  or  $u_1u_4$  or  $u_2u_3$  or  $u_2u_4$ . Note that  $u_B = u_1 \dots u_k$  if and only if a is of the form  $u_1 + u_2 + \dots + u_k$ , so in our case we know that |B| < k. After rearranging the indices if necessary, we might assume, without loss of generality, that  $u_B = u_1u_2 \dots u_s$ , with s < k.

Now, by definition,  $u_B \in C^{\perp}$ . Therefore, it is enough to show that  $u_B \notin C$ .

Assume that  $r \cdot a = u_1 u_2 \dots u_s$ . If *a* contains just one component, then we would have s = 1 and we know in that case  $u_1 \notin C$ . Because  $u_B = u_1 \dots u_s$ , we must have

$$a = u_1a_1 + u_2a_2 + \ldots + u_sa_s,$$

where  $a_1, a_2, ..., a_s$  are non-zero non-units. Additionally,  $a_i$  does not contain any of the  $u_1, u_2, ..., u_{i-1}$  for i = 2, 3..., s.

Since  $a_s$  contains some of  $u_{s+1}, \ldots, u_k$ , in order for ra to be  $u_1u_2 \ldots u_s$ , r must contain  $u_s$ . Therefore we can write  $r = r_1u_s$ . Now,  $au_s = u_1u_sa_1 + u_2u_sa_2 + \ldots u_{s-1}u_sa_{s-1}$ . We know that  $u_{s-1}u_sa_{s-1} \neq 0$ , because if it were,  $u_{B\setminus\{s-1\}}$  would annihilate a, contradicting the minimality of B. Again since  $u_sa_{s-1}$  contains elements from  $u_{s+1}, \ldots, u_k$ , we must have that  $r_1$  contains  $u_{s-1}$ . Continuing this way, we see that  $r = u_1u_2 \ldots u_s$ . But in that case it is impossible to have  $ra = u_1u_2 \ldots u_s$ .

Thus, we have classified all one-generator length 1 self-dual codes over  $R_k$  for  $k \ge 2$ .

Not all length one self-dual codes are principal ideals. For example, the code

 $\langle u_1 u_2, u_1 u_3, u_2 u_3 \rangle$  over  $R_3$  is self-dual but not principal.

We generalize this to the following theorem.

**Theorem 9.** Let k be odd, with  $D_1, D_2, ..., D_s$  the subsets of  $\{1, 2, ..., k\}$  of size  $\lceil \frac{k}{2} \rceil$  where  $s = \begin{pmatrix} k \\ \lceil \frac{k}{2} \rceil \end{pmatrix}$ . Then  $C = \langle u_{D_1}, u_{D_2}, ..., u_{D_s} \rangle$  is a self-dual code of length 1.

*Proof.* For any  $D_i, D_j$  we have  $|D_i \cup D_j| = |D_i| + |D_j| - |D_i \cap D_j|$ . Since  $\lceil \frac{k}{2} \rceil + \lceil \frac{k}{2} \rceil > k$  and the maximum of  $|D_i \cup D_j|$  is k we have  $|D_i \cap D_j| > 0$ . This implies that  $u_{D_i}u_{D_j} = 0$  for all i, j. Hence C is self-orthogonal.

Assume that  $\sum u_B \in C^{\perp}$ . This implies that  $(\sum u_B)u_{D_i} = 0$  for all *i*. If is easy to see that this implies that  $u_B u_{D_i} = 0$  for all *i*. Thus  $B \cap D_i \neq \emptyset$  for all *i*. This implies that each *B* must have cardinality at least  $k - \lceil \frac{k}{2} \rceil + 1 = \lceil \frac{k}{2} \rceil$  when *k* is odd. Thus *B* is a subset of  $\{1, 2, \dots, k\}$  with cardinality at least  $\lceil \frac{k}{2} \rceil$ . Hence  $u_B \in \langle u_{D_1}, u_{D_2}, \dots, u_{D_s} \rangle$ , that is  $u_B \in C$ . Therefore  $C = C^{\perp}$ .

Note that for *k* even one would need sets of size  $\frac{k}{2} + 1$  to be self-orthogonal. But  $k - (\frac{k}{2} + 1) = \frac{k}{2} - 1$ . Hence the code is not self-dual since there exist sets of size  $\frac{k}{2} - 1$  that are not disjoint from all sets of size  $\frac{k}{2} + 1$ . For example, if k = 4, the code  $\langle u_1 u_2 u_3, u_1 u_2 u_4, u_1 u_3 u_4, u_2 u_3 u_4 \rangle$  would generate a self-orthogonal code but  $u_1 u_2 \in C^{\perp}$  and not in *C*.

#### **5.2. Length 2 Self-Dual Codes over** *R*<sub>k</sub>

We first note that, for any  $a \in R_k$ , with  $k \ge 1$ ,  $a^2 = 1$  if a is a unit and  $a^2 = 0$  otherwise. This tells us that every codeword in a length 2 self-dual code over  $R_k$  must be of the form  $(a_1, a_2)$  where the  $a_i$  are units or of the form  $(b_1, b_2)$  where the  $b_i$  are non-units. We first start with the following proposition.

**Proposition 1.** Let C be a linear code over  $R_k$  of length 2 generated by  $(1, 1 + u_1u_2...u_k)$  with  $k \ge 2$ . Then C is a Type II code with minimum distance 4.

*Proof.* We have that  $\langle (1, 1+u_1u_2...u_k), (1, 1+u_1u_2...u_k) \rangle_k = 0$  in  $R_k$  giving that *C* is self-orthogonal. Because there is a 1 in the first coordinate, every  $R_k$ -multiple of  $(1, 1+u_1...u_k)$  is distinct, and so we have  $|C| = |R_k| = 2^{2^k}$ . Since  $|R_k^2| = 2^{2^{k+1}} = |C| \cdot |C^{\perp}|$  we see that  $|C^{\perp}| = |C| = 2^{2^k}$ . We know that *C* is self-orthogonal which implies that *C* is self-dual.

To prove that the weight of every element is divisible by 4, we first observe that

$$a \cdot (u_1 u_2 \dots u_k) = \begin{cases} u_1 u_2 \dots u_k & \text{if } a \text{ is a unit} \\ 0 & \text{if } a \text{ is a non-unit.} \end{cases}$$

This means we have

$$a \cdot (1, 1 + u_1 u_2 \dots u_k) = \begin{cases} (a, a + u_1 u_2 \dots u_k) & \text{if } a \text{ is a unit} \\ (a, a) & \text{if } a \text{ is a non-unit} \end{cases}$$

If *a* is a non-unit, then  $w_L(a(1, 1 + u_1 \dots u_k)) = w_L(a, a) = 2w_L(a)$ . Therefore the Lee weight is a multiple of 4 since, by [7], we know that the Lee weight of every non-zero non-unit is even.

If *a* is a unit, then

$$w_L(a(1, 1+u_1...u_k)) = w_L(a, a+u_1u_2...u_k) = w_L(a) + w_L(a+u_1...u_k) = 2^k$$

by [7]. When  $k \ge 2$  this is divisible by 4.

We have found a class of Type II codes over  $R_k$  of length 2 for all  $k \ge 2$ . The binary images of these codes are Type II codes with parameters  $[2^{k+1}, 2^k, 4]$ , which are extremal when k = 2 and k = 3.

The following proposition can be proven in exactly the same way as the previous proposition.

**Proposition 2.** Let C be the length 2 code over  $R_k$ , for  $k \ge 4$ , generated by  $\mathbf{c} = (1, 1+u_1u_2+u_3...u_k)$ . Then C is a Type II self-dual code over  $R_k$  with minimum Lee distance 8. Hence the binary image is an extremal Type II code when k = 4.

The following is an easy observation that can be proven in the same manner.

**Proposition 3.** Let C be a linear code over  $R_k$  of length 2 generated by (a, b) where a and b are units in  $R_k$ . Then C is a self dual code.

Conversely, any self-dual code of length 2 over  $R_k$  that contains a vector of the form  $(a_1, a_2)$ , where the  $a_i$  are units, must be generated by that vector and hence be a one-generator code.

Of course, a vector of the form  $(b_1, b_2)$  where the  $b_i$  are non-units, cannot generate a self-dual code by itself, because multiplying it by  $u_1 \dots u_k$  would yield the zero vector, hence the size of such a code can be at most  $2^{2^{k-1}}$ . Thus we need a second generator in such a case.

#### 6. Lattices

There is a vast literature connecting codes and lattices. See [3] for details and an extensive literature.

Let *F* be either  $\mathbb{R}$ ,  $\mathbb{C}$  or  $\mathbb{H}$  and let  $\mathcal{O}$  be  $\mathbb{Z}$ ,  $\mathbb{Z}[\mathbf{i}]$ , or  $\mathbb{Z}[\mathbf{i}, \mathbf{j}, \mathbf{k}]$ , respectively.

A lattice in  $F^n$  is a free  $\mathcal{O}$ -module. The standard inner product attached to the ambient space is defined as

$$\mathbf{v} \cdot \mathbf{u} = \sum v_i \overline{u_i},\tag{14}$$

where the involution is the identity for the real numbers and the standard involution for the complex numbers and the quaternions.

We define  $L^* = {\mathbf{u} \in F^n \mid \mathbf{u} \cdot \mathbf{v} \in \mathcal{O} \text{ for all } \mathbf{v} \in L}$ . For the quaternions we only need to define one orthogonal here since  $\mathbf{u} \cdot \mathbf{v} \in \mathcal{O}$  if and only if  $\mathbf{v} \cdot \mathbf{u} \in \mathcal{O}$ . If the lattice *L* satisfies  $L \subseteq L^*$  it is said to be integral and if the lattice *L* satisfies  $L = L^*$  then it is said to be unimodular.

The norm of a vector  $\mathbf{v}$  is  $N(\mathbf{v}) = \mathbf{v} \cdot \mathbf{v}$ . If the norm of every vector in a unimodular lattice is an even integer then we say the lattice is even.

We describe a family of reduction maps.

Define

$$h_{\mathbb{H}}: \mathscr{O}^n \to \mathbb{R}^n_2, \tag{15}$$

to be the linear map where  $h_{\mathbb{H}}(\mathbf{i}+1) = u_1$ ,  $h_{\mathbb{H}}(\mathbf{j}+1) = u_2$ , and  $h_{\mathbb{H}}(\mathbf{k}+1) = u_1 + u_2 + u_1u_2$ . Define

$$h_{\mathbb{C}}: \mathscr{O}^n \to \mathbb{R}^n_1, \tag{16}$$

to be the linear map where  $h_{\mathbb{C}}(\mathbf{i}+1) = u_1$ . Define

$$h_{\mathbb{R}}: \mathscr{O}^n \to \mathbb{R}^n_0, \tag{17}$$

where  $R_0 = \mathbb{F}_2$  and  $h_{\mathbb{R}}(n) = n \pmod{2}$ .

Each of these maps is a ring homomorphism and it can be seen that  $h^{-1}(C)$  is a free  $\mathcal{O}$ -module. The lattices induced from a code *C* are defined as follows:

$$\Lambda_{\mathbb{H}}(C) := \frac{1}{\sqrt{2}} h_{\mathbb{H}}^{-1}(C) = \{ v \in \mathscr{O}^n \mid v \pmod{2\mathscr{O}} \in C \}.$$

$$(18)$$

100

$$\Lambda_{\mathbb{C}}(C) := \frac{1}{\sqrt{2}} h_{\mathbb{C}}^{-1}(C) = \{ v \in \mathcal{O}^n \mid v \pmod{2\mathcal{O}} \in C \}.$$

$$(19)$$

$$\Lambda_{\mathbb{R}}(C) := \frac{1}{\sqrt{2}} h_{\mathbb{R}}^{-1}(C) = \{ v \in \mathcal{O}^n \mid v \pmod{2\mathcal{O}} \in C \}.$$

$$(20)$$

**Lemma 4.** If C is a self-dual code over  $R_2$  then  $\Lambda_{\mathbb{H}}(C)$  is a quaternionic unimodular lattice. If C is a self-dual code over  $R_1$  then  $\Lambda_{\mathbb{C}}(C)$  is a complex unimodular lattice. If C is a self-dual code over  $R_0 = \mathbb{F}_2$  then  $\Lambda_{\mathbb{R}}(C)$  is a real unimodular lattice.

*Proof.* The first result can be found in [2]. Notice that in the notation of [2],  $\alpha$  corresponds to  $u_1$ ,  $\beta$  corresponds to  $u_2$  and  $\gamma$  corresponds to  $u_1+u_2+u_1u_2$ . The second result can be found in [6] where the rings is written as  $\mathbb{F}_2 + u\mathbb{F}_2$  and u corresponds to  $u_1$ . The third result can be found in [1] and numerous other papers, see [3].

Let  $k \ge 2$ . For  $\alpha \in R_k$  write  $\alpha = \alpha_0 + \alpha_1 u_{k-1} + \alpha_2 u_k + \alpha_3 u_{k-1} u_k$  with  $\alpha_i \in R_{k-2}$ . Then define  $\Phi_2 : R_k \to R_2^{2^{k-2}}$  by  $\Phi_2(\alpha) = \phi_{k-2}(\alpha_0) + \phi_{k-2}(\alpha_1)u_1 + \phi_{k-2}(\alpha_2)u_2 + \phi_{k-2}(\alpha_3)u_1u_2$ . For  $\alpha \in R_k$  write  $\alpha = \alpha_0 + \alpha_1 u_k$  with  $\alpha_i \in R_{k-1}$ . Then define  $\Phi_1 : R_k \to R_2^{2^{k-1}}$  by  $\Phi_1(\alpha) = \phi_{k-1}(\alpha_0) + \phi_{k-1}(\alpha_1)u_1$ .

**Theorem 10.** Let  $k \ge 2$ . If C is a self-dual code over  $R_k$  of length n then  $\Phi_2(C)$  is a self-dual code over  $R_2$  of length  $2^{k-2}n$ . If C is a self-dual code over  $R_k$  of length n then  $\Phi_1(C)$  is a self-dual code over  $R_2$  of length  $2^{k-1}n$ .

Proof. The proof follows from Theorem 4.

**Theorem 11.** Let *C* be a self-dual code over  $R_k$  of length  $n, k \ge 2$  with  $i, j \le k$ . Then  $\Lambda_{\mathbb{H}}(\Phi_2(C))$  is a quaternionic unimodular lattice of length  $2^{k-2}n$ ,  $\Lambda_{\mathbb{C}}(\Phi_1(C))$  is a complex unimodular lattice of length  $2^{k-1}n$ , and  $\Lambda_{\mathbb{R}}(\phi_k(C))$  is a real unimodular lattice of length  $2^k n$ .

Proof. Follows by applying Lemma 4 and Theorem 10.

## 7. Extremal Binary Self-Dual Codes Obtained from Codes over R<sub>k</sub>

Note that, in Section 5, we introduced the map

$$\Psi_k: R_k \to R_{k-1}^2$$

given by  $\Psi_k(a + u_k b) = (b, a + b)$ . It is easy to verify that  $\Psi_k$  is a linear bijection from  $R_k^n$  to  $R_{k-1}^{2n}$  and furthermore it is distance preserving. Now let  $\mathbf{c}_1 + u_k \mathbf{d}_1, \mathbf{c}_2 + u_k \mathbf{d}_2$  be two vectors in  $R_k^n$  such that

$$<\mathbf{c}_1+u_k\mathbf{d}_1,\mathbf{c}_2+u_k\mathbf{d}_2>_k=0$$

This means

$$<\mathbf{c}_1,\mathbf{c}_2>_{k-1}=0, <\mathbf{c}_1,\mathbf{d}_2>_{k-1}+<\mathbf{c}_2,\mathbf{d}_1>_{k-1}=0.$$
 (21)

It follows that

$$< \Psi_{k}(\mathbf{c}_{1} + u_{k}\mathbf{d}_{1}), \Psi_{k}(\mathbf{c}_{2} + u_{k}\mathbf{d}_{2}) >_{k-1} = < (\mathbf{d}_{1}, \mathbf{c}_{1} + \mathbf{d}_{1}), (\mathbf{d}_{2}, \mathbf{c}_{2} + \mathbf{d}_{2}) >_{k-1}$$

$$= < \mathbf{d}_{1}, \mathbf{d}_{2} >_{k-1} + < \mathbf{c}_{1} + \mathbf{d}_{1}, \mathbf{c}_{2} + \mathbf{d}_{2} >_{k-1}$$

$$= < \mathbf{d}_{1}, \mathbf{d}_{2} >_{k-1} + < \mathbf{c}_{1}, \mathbf{c}_{2} >_{k-1} + < \mathbf{c}_{1}, \mathbf{d}_{2} >_{k-1}$$

$$+ < \mathbf{c}_{2}, \mathbf{d}_{1} >_{k-1} + < \mathbf{d}_{1}, \mathbf{d}_{2} >_{k-1}$$

$$= 0$$

by (21). This leads to the following lemma:

**Lemma 5.** If C is a self-dual code over  $R_k$  of length n, then  $\Psi_k(C)$  is a self-dual code over  $R_{k-1}$  of length 2n.

*Proof.* Note that the above observation tells us that  $\Psi$  preserves self-orthogonality. But, since  $\Psi_k$  is an injective map, the sizes of the codes are preserved as well, which implies that if *C* is a self-dual code of length *n* over  $R_k$ , then  $\Psi_k(C)$  is a self-dual code of length 2*n* over  $R_{k-1}$ .

Combining this with Theorem 4, we obtain the following result:

**Theorem 12.** Suppose C is a self-dual code over  $R_k$  of length n, and that its binary image  $\psi_k(C)$  is a binary self-dual code with parameters  $[2^k n, 2^{k-1}n, d]$ . Then there exists a self-dual code D over  $R_{k-1}$  of length 2n such that  $\psi_{k-1}(D)$  is a binary self-dual code with the same parameters and moreover is equivalent to  $\psi_k(C)$ .

Consequently, when we are trying to get some known binary codes as the images of linear codes over  $R_k$ , it suffices to find the largest k for which we can do that. Because if it is linear over  $R_k$ , then it will be linear over  $R_i$  for all  $i \le k$ .

#### 7.1. Examples

We are now ready to give some known binary self-dual codes as the images of self-dual codes over  $R_k$ .

Corollary 4.4 in [7] states that if a binary code is the image of a code over  $R_k$  then the automorphism group of the code contains k distinct automorphisms which are involutions corresponding to multiplication in the ring by  $1+u_i$  for i = 1...k. Hence, the codes described below have a rich automorphism structure containing at least the group generated by these involutions. In general, it is important to find the largest k such that a binary code is the image of a code over  $R_k$  since this says the most about its automorphism group.

#### 7.2. [8,4,4] Binary Self-Dual Code

Because of the length of the code, the largest k for which the code can be the image of a code over  $R_k$  is 3. If we take  $C_1$  to be the linear code of length 1 over  $R_3$  generated by  $u_1u_2$ ,  $u_1u_3$  and  $u_2u_3$ , then  $C_1$  is a self-dual code with weight enumerator  $1 + 14z^4 + z^8$ . The binary image is an extremal Type II code with parameters [8, 4, 4]. By the above argument, we know that we can find the same code to be linear over  $R_2$  as well. In that case the generator can be taken as the vector  $(1, 1 + u_1u_2)$ .

#### 7.3. [16, 8, 4] Binary Self-Dual Code

For length 16, the largest *k* for which the code can be the image of a code over  $R_k$  is 4. We take the code  $C_2$  to be the length 1 code over  $R_4$  generated by  $u_1u_2$ ,  $u_1u_3$ ,  $u_1u_4$ ,  $u_2u_3u_4$ . The code  $C_2$  turns out to be a self-dual code with Lee weight enumerator

$$L_{C_2}(z) = 1 + 28z^4 + 198z^8 + 28z^{12} + z^{16}$$

The code  $\psi_4(C_2)$  is a binary Type II code with parameters [16, 8, 4] and is extremal. We know that we can get the same code from  $R_2$  and  $R_3$  as well. In particular,  $\psi_3(<(1, 1 + u_1u_2u_3)>)$  and  $\psi_2(<(1, 1 + u_1u_2, 1 + u_1, 1 + u_1 + u_1u_2), (0, 0, 1 + u_1, 1 + u_1 + u_1u_2)>)$  have the same parameters and weight enumerators.

#### 7.4. The Extended Golay Code

Let  $C_3$  be the linear code over  $R_3$  of length 3 generated by the following vectors

$$(u_2, u_1 + u_3 + u_1u_2, u_1 + u_1u_2), (u_1 + u_2, u_1 + u_1u_2, u_2 + u_3 + u_1u_2), (u_3, u_2 + u_1u_3, u_1 + u_2).$$

Then  $\psi_3(C_3)$  is a binary Type II self-dual code with parameters [24, 12, 8] and  $C_3$  has Lee weight enumerator

$$L_{C_2}(z) = 1 + 759z^8 + 2576z^{12} + 759z^{16} + z^{24},$$

which is the weight enumerator of the extended binary Golay code.

We can of course get the same code from  $R_2$  as well. In fact, if *D* is the linear code over  $R_2$  of length 6 generated by

$$(1,0,0,1+u_1u_2,u_2,u_1+u_2),(0,1,0,u_2,1+u_1+u_1u_2,u_1+u_1u_2)$$

and

$$(0,0,1,u_1+u_2,u_1+u_1u_2,1+u_2+u_1u_2),$$

then  $\psi_2(D)$  has the same parameters and the weight enumerator.

This code together with the map  $\Lambda_{\mathbb{R}}$  produces the Leech lattice.

### 7.5. Binary Self-Dual Code with Parameters [32, 16, 8]

Let  $C_4$  be the linear code over  $R_5$  of length 1 generated by

$$\{u_i u_j u_k \mid 1 \le i < j < k \le 5\}$$

Then  $C_4$  is a self-dual Type II code by Theorem 9, and has Lee weight enumerator

$$L_{C_4}(z) = 1 + 620z^8 + 13888z^{12} + 36518z^{16} + 1388z^{20} + 620z^{24} + z^{32}$$

So we see that  $\psi_5(C_4)$  is an extremal binary Type II code of parameters [32, 16, 8].

Of course by the argument given at the beginning of the section we know that we can get the same code from  $R_2$ ,  $R_3$  and  $R_4$  as well. For example, if *E* is the linear code over  $R_4$  of length 2 generated by the vector  $(1, 1 + u_1u_2 + u_3u_4)$ , then  $\psi_4(E)$  has the same parameters and the weight enumerator as the above one.

This code is an example of a code constructed using Theorem 9. It is easy to see that any code constructed with this theorem over  $R_k$  will be a  $[2^k, 2^{k-1}, 2^{\lceil \frac{k}{2} \rceil}]$  binary self-dual code. The next in the family would be a [128, 64, 16] code.

#### 7.6. Binary Self-Dual Code with Parameters [40, 20, 8]

Let  $C_5$  be the linear code over  $R_2$  generated by the matrix  $[I_5|A]$  where

	$1 + u_1 u_2$	$u_1$	$u_1$	$u_1 + u_2$	<i>u</i> <sub>2</sub>	]
	$u_1$	$1 + u_1 u_2$	$u_1 + u_2$	$u_1$	$u_2$	
A =	$u_1$	$u_1 + u_2 + u_1 u_2$	$1 + u_1 u_2$	$u_1u_2$	$u_1 + u_2 + u_1 u_2$	.
	$u_1 + u_2$	$u_1 + u_1 u_2$	0	$1 + u_1 u_2$	$u_2$	
	$u_2 + u_1 u_2$	$u_2$	$u_1 + u_2 + u_1 u_2$	$u_2$	$1 + u_1 u_2$	

Then  $C_5$  is a self-dual code over  $R_2$  of length 10 with weight enumerator  $1 + 125z^8 + 1664z^{10} + 10720z^{12} + \dots$  The binary image  $\psi_2(C_5)$  is a en extremal singly-even self-dual code with parameters [40, 20, 8] and has an automorphism group of order  $2^7$ .

## 7.7. Binary Self-Dual Code with Parameters [44, 22, 8]

Let  $C_6$  be the linear code over  $R_2$  of length 11 generated by the matrix  $\begin{bmatrix} I_5 & | \\ 0 & | \\ A \end{bmatrix}$  where *A* is the 6 × 6 matrix given by

A =	$1 + u_2 + u_1 u_2$ $1 + u_2$	$u_1u_2$ 1+u_1+u_2+u_1u_2	$1 + u_2 + u_1 u_2$ $1 + u_2$	$1 + u_2 + u_1 u_2 \\ 1 + u_1 + u_2 + u_1 u_2$	$1 + u_2 \\ 1 + u_2$	$1+u_1$ $u_1$	]
	$1+u_1+u_2+u_1u_2$ $u_1$	$1 + u_1$ $1 + u_2 + u_1 u_2$	$u_1 \\ 1 + u_1 u_2$	$u_1 + u_1 u_2 \\ 0$	$u_2 u_1 + u_2$	$1 + u_2$ $1 + u_1 + u_1u_2$	.
	0	1	$u_1$	$1 + u_1$	- u <sub>1</sub>	$1 + u_2$	
	L 0	$u_2 + u_1 u_2$	$u_1u_2$	0	$u_2$	<i>u</i> <sub>2</sub>	

Then  $C_6$  is a self-dual code over  $R_2$  of length 11 with weight enumerator  $1 + 104z^8 + 512z^{10} + \ldots$  The binary image  $\psi_2(C_6)$  is an extremal singly-even self-dual code with parameters [44, 22, 8] with  $|Aut(C)| = 2^{16} \cdot 3^2 \cdot 5^2$ .

### 7.8. Binary Self-Dual Code with Parameters [56, 28, 12]

The existence of Type I extremal self-dual code of length 56 is not known in the literature, however extremal Type II code of length 56 is known and there is only one possible weight enumerator for such codes, that starts with  $1+8190z^{12}+\ldots$  We are going to give two separate constructions for this code, one from  $R_2$  and one from  $R_3$  with different automorphism groups:

**From**  $R_2$ : Let  $C_7$  be the linear code over  $R_2$  of length 14, generated by the matrix  $[I_7|A]$  where the rows of *A* are given by

$$\{ (1 + u_1, 1 + u_2, 1, u_1, u_1, 1 + u_1, 1 + u_1 + u_2), (1 + u_1u_2, u_1 + u_2, 1 + u_1 + u_2 + u_1u_2, u_1 + u_2 + u_1u_2, u_1 + u_1u_2, u_1 + u_1u_2), (0, 1 + u_1 + u_2 + u_1u_2, 1 + u_1, u_1 + u_1 + u_2, u_1 + u_1u_2, 1 + u_1 + u_1u_2, 1 + u_1 + u_1u_2, u_2 + u_1u_2, u_1 + u_1 + u_2 + u_1u_2, 1 + u_1 + u_2, u_1 + u_1 + u_2 + u_1u_2, 1 + u_1u_2), (u_2 + u_1u_2, u_2 + u_1u_2, u_2 + u_1u_2, u_1 + u_2 + u_1u_2, 1 + u_1 + u_2 + u_1u_2, 1 + u_1 + u_2 + u_1u_2, u_2 + u_1u_2, u_2 + u_1u_2, u_1 + u_1 + u_2 + u_1u_2, 1 + u_1 + u_2 + u_1u_2, u_2 + u_1u_2, u_2 + u_1u_2, 1 + u_1 + u_2, 1, u_1), (u_1, 1 + u_2, u_2 + u_1u_2, u_2, 1 + u_1 + u_2, u_2, 1 + u_1 + u_2) \}$$

Then  $\psi_2(C_7)$  is an extremal binary Type II self-dual code of parameters [56, 28, 12] with an automorphism group of order 4.

**From**  $R_3$ : Let  $C'_7$  be the linear code over  $R_3$  of length 7 generated by the matrix  $\begin{bmatrix} I_3 & | \\ 0 & | \\ A \end{bmatrix}$ , where *A* is a 4 × 4 matrix over  $R_3$  whose rows are

$$\{ (1 + u_3 + u_1u_3 + u_1u_2u_3, 1 + u_1 + u_2 + u_2u_3 + u_1u_2u_3, 1 + u_2 + u_3 + u_1u_2 + u_1u_3 + u_2u_3 + u_1u_2u_3, u_1 + u_3 + u_1u_2u_3 \}, (u_2 + u_1u_2 + u_1u_3, 1 + u_2 + u_3 + u_1u_2 + u_2u_3, 1 + u_3 + u_1u_2 + u_2u_3 + u_1u_2u_3 \}, (1 + u_1 + u_3 + u_1u_2u_3, u_2 + u_2u_3, 1 + u_1u_2 + u_1u_3 + u_2u_3 + u_1u_2u_3 \}, (1 + u_1 + u_3 + u_1u_2u_3, u_2 + u_2u_3, 1 + u_1u_2 + u_1u_3 + u_1u_2 + u_1u_3 + u_1u_2 + u_3 + u_1u_2 + u_2u_3 \}, (u_1 + u_3 + u_1u_2 + u_1u_3 + u_1u_2u_3, 0, u_1 + u_3 + u_1u_2 + u_1u_3 \} \}.$$

 $\psi_3(C'_7)$  is an extremal binary self-dual code of parameters [56, 28, 12] with an automorphism group of order 8.

#### 7.9. Binary Self-Dual Code with Parameters [64, 32, 12]

Let  $C_8$  be the linear code over  $R_3$  of length 8 generated by the matrix  $[I_4|A]$  where A is a  $4 \times 4$  matrix over  $R_3$  whose rows are

 $\{ (1 + u_1 + u_1u_2 + u_1u_3 + u_1u_2u_3, 1 + u_1 + u_2 + u_1u_2 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_3 + u_1u_2u_3, u_3 + u_2u_3), (u_3 + u_1u_2 + u_2u_3, 1 + u_2 + u_1u_2, 1 + u_1 + u_3 + u_2u_3, 1 + u_1 + u_3 + u_1u_3 + u_2u_3, u_1 + u_1u_2 + u_2u_3, 1 + u_1 + u_3 + u_1u_2 + u_2u_3, 1 + u_1 + u_3 + u_1u_2 + u_2u_3, 1 + u_1 + u_2 + u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_2 + u_2u_3, 1 + u_1 + u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3 + u_1u_2u_3, 1 + u_1 + u_2u_3, u_1 + u_1u_3 + u_2u_3, 1 + u_1u_3 + u_2u_3, 1$ 

Then  $C_8$  turns out to be a Type I code with Lee weight distribution  $1+1888z^{12}+20736z^{14}+...$ We see that  $\psi_3(C_8)$  is an extremal binary Type II code with parameters [64, 32, 12] and an automorphism group of order 8.

### 8. conclusion

Binary self-dual codes are a rich source of research in coding theory. There are numerous methods of constructing good self-dual codes, in particular extremal binary self-dual codes, which are self-dual codes that attain the upper bounds. Recently, the family of rings that are called  $R_k$  have been introduced in coding theory and have proved to be useful in constructing binary codes with good parameters.

In this work, we worked our the general properties of self-dual codes over  $R_k$  and used these codes to obtain binary self-dual codes. We gave alternate constructions for some of the well known good self-dual binary codes such as the extended Hamming code and the extended binary Golay code. Binary codes that are images of codes over  $R_k$  have automorphism groups of size that are multiple of  $2^k$ . That is why working over  $R_k$  helps construct binary self-dual codes of high automorphism groups.

The rich algebraic structure of  $R_k$  can prove to be useful in obtaining better codes in the future. The connection of codes over  $R_k$  with some other structures such as lattices and designs can further be explored. We obtained a number of extremal binary self-dual codes of certain lengths from  $R_k$ . This can be done for more lengths and to a further extent.

**ACKNOWLEDGEMENTS** The authors wish to thank the anonymous referees for their useful comments and suggestions.

#### References

- E. Bannai, S.T. Dougherty, M. Harada, and M. Oura. Type II Codes, Even Unimodular Lattices, and Invariant Rings, *IEEE Transactions on Information Theory*, 45:1194-1205, 1999.
- [2] Y.J. Choie and S.T. Dougherty. Codes over  $\Sigma_{2m}$  and Jacobi Forms over the Quaternions, Applicable Algebra in Engineering, Communications and Computing 15:129-147, 2004.
- [3] J.H. Conway and N.J.A. Sloane. Sphere Packing, Lattices and Groups (2nd ed.), New York: Springer-Verlag, 1993.
- [4] J. H. Conway and N. J. A. Sloane. Sphere Packings, Lattices and Groups. Springer-Verlag, NY, 3rd ed., 1998.
- [5] S.T. Dougherty, T. A. Gulliver and M. Harada. Type II self-dual codes over finite rings and even unimodular lattices, *Journal of Algebraic Combinatorics*, 9:233–250, 1999.

- [6] S.T. Dougherty, M. Harada, P. Gaborit, and P. Solé. Type II Codes Over  $F_2 + uF_2$ , *IEEE Transactions on Information Theory*, 45:32-45, 1999.
- [7] S.T. Dougherty, B. Yıldız and S. Karadeniz. Codes over *R*<sub>k</sub>, Gray Maps and their Binary Images, *Finite Fields and their Applications* 17:205–219, 2011.
- [8] F.J. MacWilliams and N.J.A. Sloane. The Theory of Error-Correcting Codes. Amsterdam: North-Holland, 1977.
- [9] G. Nebe, E. M. Rains and N. J. A. Sloane. Self-Dual Codes and Invariant Theory. Springer-Verlag, 2006.
- [10] E.M. Rains. Shadow Bounds for self-dual Codes, *IEEE Transactions on Information Theory*, 44:134–139, 1998.
- [11] J. Wood. Duality for modules over finite rings and applications to coding theory. *American Journal of Mathematics*, 121:555-575, 1999.