



## Failed 2-Distance Zero Forcing Numbers of Some Graphs

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**Abstract.** Let  $G = (V(G), E(G))$  be a simple and undirected graph and let  $x, y \in V(G)$ . If  $x$  is a colored (active) vertex and exactly one hop neighbor  $y$  of  $x$  is uncolored (inactive), then  $y$  will become colored (active), and we call this process a 2-distance color change rule. A 2-distance zero forcing set  $N$  is a subset of  $V(G)$  such that when the vertices in  $N$  are colored (active) and the remaining vertices outside  $N$  are uncolored (inactive) initially, then repeated application of a 2-distance color change rule, all vertices of  $G$  will become colored (active). Now, a set  $M \subset V(G)$  is called a failed 2-distance zero forcing set of  $G$  if  $M$  fails to be a 2-distance zero forcing set of  $G$ . The failed 2-distance zero forcing number of a graph  $G$ , denoted by  $F^2(G)$ , is the maximum cardinality of a failed 2-distance zero forcing set of  $G$ . In this paper, we introduce the said parameter and study this on some special graphs and on the join of two graphs. Moreover, we characterize failed 2-distance zero forcing sets in the join of two graphs using a failed co-zero forcing concept. Finally, we derive some nice formulas for computing the said parameter on the join of any two graphs.

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### 1. Introduction

The concept of zero forcing has been explored over the past few years because of its application to minimum rank problems [1, 2]. The zero forcing process was initially

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proposed in [3], and has been further studied on different types of graphs. Some studies on this parameter can be found in [4–13].

In 2015, K. Feteie, B. Jacob and D. Saavedra introduced a new graph parameter, the failed zero forcing number of a graph. They established bounds on the failed zero forcing number of a graph, both in general and for connected graph. Some studies on this parameter can be found in [14–17].

In a recent year, J. Hassan et al. introduced the concept of 2-distance zero forcing sets in a graph [18]. The said concept is another variant of zero forcing wherein its color change property differs from the standard color change rule. Particularly, the distance condition has extended to two. They had initially investigated the said concept on some special types of graphs, and presented some relationships with other parameters. In particular, they have shown that this new variant is incomparable with the usual zero forcing.

In this study, a new concept related to a 2-distance zero forcing in a graph is introduced and initially investigated on some families of graphs and on the join of any two graphs, and we call it a failed 2-distance zero forcing. This paper may give further insights and may develop new concepts that would benefit other researchers who are interested in the field of graph theory. Moreover, this parameter may offer interesting research topics in the future and may be applied to model a certain real-life scenarios.

## 2. Terminology and Notation

Let  $G = (V(G), E(G))$  be a simple and undirected graph. The *distance*  $d_G(u, v)$  in  $G$  of two vertices  $u, v$  is the length of a shortest  $u$ - $v$  path in  $G$ . A vertex  $a$  in  $G$  is a *hop neighbor* of a vertex  $b$  in  $G$  if  $d_G(a, b) = 2$ .

Let  $G = (V(G), E(G))$  be a simple and undirected graph and let  $x, y \in V(G)$ . If  $x$  is a colored (active) vertex and exactly one hop neighbor  $y$  of  $x$  is uncolored (inactive), then  $y$  will become colored (active), and we call this process a 2-distance color change rule. A 2-distance zero forcing set  $N$  is a subset of vertices of  $G$  such that when the vertices in  $N$  are colored (active) and the remaining vertices outside  $N$  are uncolored (inactive) initially, then repeated application of a 2-distance color change rule, all vertices of  $G$  will become colored (active). The minimum cardinality of a 2-distance zero forcing set of  $G$ , denoted by  $Z^2(G)$ , is called the 2-distance zero forcing number of  $G$ .

Let  $G$  and  $H$  be any two graphs. The *join* of  $G$  and  $H$ , denoted by  $G + H$  is the graph with vertex set  $V(G + H) = V(G) \cup V(H)$  and edge set

$$E(G + H) = E(G) \cup E(H) \cup \{uv : u \in V(G), v \in V(H)\}.$$

## 3. Results

We shall now define the failed 2-distance zero forcing in a graph and investigate this on some families of graphs and on the join of two graphs.

**Definition 1.** Let  $G = (V(G), E(G))$  be a simple and undirected graph. Then  $M \subset V(G)$  is called a failed 2-distance zero forcing set of  $G$  if  $M$  fails to be a 2-distance zero forcing set

of  $G$ . The failed 2-distance zero forcing number of  $G$ , denoted by  $F^2(G)$ , is the maximum cardinality of a failed 2-distance zero forcing set in  $G$ .

#### 4. Some Properties of Failed 2-Distance Zero Forcing in Some Special Graphs

Let's begin with the characterization of a failed 2-distance zero forcing sets in a complete graph as follows:

**Theorem 1.** Let  $n$  be a positive integer. Then  $R \subset V(K_n)$  is a failed 2-distance zero forcing set in  $K_n$  if and only if  $|R| \leq n - 1$ .

*Proof.* Suppose that  $R \subset V(K_n)$  is a failed 2-distance zero forcing set of  $K_n$ . Then  $R$  is not a 2-distance zero forcing set of  $K_n$ . Thus, there exist  $y \in V(K_n) \setminus R$  such that  $y$  cannot be 2-forced. That is,  $R \neq V(K_n)$ . Therefore,  $|R| \neq n$ , and so  $|R| \leq n - 1$ .

Conversely, suppose that  $|R| \leq n - 1$ . Then there is exist at least one vertex  $u \in V(K_n)$  such that  $u \notin R$ . Suppose on the contrary that  $R$  is a 2-distance zero forcing set on  $K_n$ . Then there exist  $y \in R$  such that  $d_{K_n}(u, y) = 2$ . However, this is a contradiction since the graph is complete. Thus,  $R$  is a failed 2-distance zero forcing set of  $K_n$ .  $\square$

The following result follows directly from Theorem 1.

**Corollary 1.** Let  $n$  be a positive integer. Then  $F^2(K_n) = n - 1$  for all  $n \geq 1$

Now, let's study the behavior of a failed 2-distance zero forcing number in a path graph with order  $n$ , which is any positive integer.

**Theorem 2.** Let  $n$  be any positive integer. Then

$$F^2(P_n) = \begin{cases} n - 1, & \text{if } n = 1, 2, 3, \\ \lfloor \frac{n}{4} \rfloor - 1, & \text{if } n \text{ is odd} \\ \frac{n}{2}, & \text{if } n \text{ is even.} \end{cases}$$

*Proof.* By Theorem 3.1.1,  $F^2(P_1) = 0$  and  $F^2(P_2) = 1$ . For  $n = 3$ , let  $P_3 = [v_1, v_2, v_3]$ , and consider  $S = \{v_1, v_3\}$ . Then  $v_2$  cannot be 2-forced by any vertex in  $S$ . Thus,  $S$  is a failed 2-distance zero forcing set of  $P_3$ . Obviously  $S$  is a maximum failed 2-distance zero forcing set of  $P_3$  since  $V(P_3)$  is a 2-distance zero forcing set of  $P_3$ . Therefore,  $F^2(P_3) = 2$ .

Let  $P_n = [a_1, a_2, \dots, a_n]$ . For  $n = 4$ , consider  $N = \{a_1, a_3\}$ . Then  $a_2$  and  $a_4$  cannot be 2-forced by any vertex in  $N$ . It follows that  $N$  is a failed 2-distance zero forcing set of  $P_4$ . Thus,  $F^2(P_4) \geq 2$ . Notice that  $N' = T \cup \{a_1, a_3\}$  where  $T \subseteq \{a_2, a_4\}$ , is a 2-distance zero forcing set of  $P_4$ . Therefore,  $F^2(P_4) \geq 3$  is impossible, and so  $F^2(P_4) = 2$ .

Now, for  $n \geq 6$  and even, consider

$$R = \{a_1, a_3, a_4, a_5, a_7, a_8, a_9, \dots, a_{n-1}\}.$$

Then the vertices  $a_2, a_6, \dots, a_n$  cannot be 2-forced. Thus,  $R$  is a failed 2-distance zero forcing set of  $P_n$ . Now, if we add vertex  $a_2$  to  $R$ , then repeatedly applying the 2-distance color change rule on  $R$ , all vertices outside  $R$  can now be 2-forced, which is a contradiction. Similarly, if we add vertices from  $\{a_6, a_{10}, \dots, a_n\}$  to  $R$ , then  $R$  is a 2-distance zero forcing set of  $P_n$ , a contradiction. Therefore,  $R$  is a maximum failed 2-distance zero forcing set of  $P_n$ .

For  $n = 5$ . Let  $T = \{a_2, a_3, a_4\}$ . Then  $a_1$  and  $a_5$  cannot be 2-forced. Hence,  $T$  is a failed 2-distance zero forcing set of  $P_5$ . Now, if we add  $a_1$  to  $T$ . Then vertex  $a_5$  can now be 2-forced by a vertex  $a_3$ , a contradiction. Thus,  $T$  is the maximum failed 2-distance zero forcing of  $P_5$ .

Assume that  $n \geq 7$  and odd. Consider the following cases:

Case 1: For  $n \in \{7, 11, 15, \dots\}$ , consider

$$Q = \{a_1, a_3, a_4, a_5, a_7, a_8, a_9, \dots, a_{n-4}, a_{n-3}, a_{n-2}, a_n\}.$$

Then vertices in  $V(P_n) \setminus Q$  cannot be 2-forced. It follows  $Q$  is a failed 2-distance zero forcing set. Now, if we add  $a_2$  to  $Q$ , then repeatedly applying the 2-distance color change rule on  $Q$ , all vertices outside  $Q$  can now be 2-forced, which is also a contradiction. Similarly, if we add vertices from  $\{a_6, a_{10}, \dots, a_{n-1}\}$  to  $Q$ , then  $Q$  is a failed 2-distance zero forcing set of  $P_n$ , another contradiction. Thus,  $Q$  is a maximum failed 2-distance zero forcing set of  $P_n$ .

Case 2: For  $n \in \{9, 13, 17, \dots\}$ , consider

$$Q' = \{a_1, a_3, a_4, a_5, a_7, a_8, a_9, \dots, a_{n-6}, a_{n-5}, a_{n-4}, a_{n-2}, a_n\}.$$

Then vertices in  $V(P_n) \setminus Q'$  cannot be 2-forced. It follows  $Q'$  is a failed 2-distance zero forcing set. Now, if we add  $a_2$  to  $Q'$ , then repeatedly applying the 2-distance color change rule on  $Q'$ , all vertices outside  $Q'$  can now be 2-forced, a contradiction. Similarly, if we add vertices from  $\{a_6, a_{10}, \dots, a_{n-3}, a_{n-1}\}$  to  $Q'$ , then  $Q'$  is a failed 2-distance zero forcing set of  $P_n$ , another contradiction. Thus,  $Q'$  is a maximum failed 2-distance zero forcing set of  $P_n$ .

Therefore,

$$F^2(P_n) = n - (\lfloor \frac{n}{4} \rfloor + 1) \text{ for } n \geq 4.$$

□

Now, we will characterize a failed 2-distance zero forcing set in star graph to derive the parameter's formula for the said graph.

**Theorem 3.** Let  $n$  be a positive integer and  $v$  be a dominating vertex of  $S_n$ . Then  $S \subset V(S_n)$  is a failed 2-distance zero forcing set in  $S_n$  if and only if one of the following conditions holds:

- (i) If  $v \in S$ , then at least two vertices in  $\overline{K}_n$  must not be in  $S$ .
- (ii)  $v \notin S$ , then  $S \subseteq \overline{K}_n$ .

*Proof.* Suppose that  $S \subset V(S_n)$  is a failed- 2 distance zero forcing set of  $S_n$ . Let  $v$  be a dominating vertex of  $S_n$  such that  $v \in S$ . Assume that there is at most one vertex  $w \in V(\overline{K}_n)$  such that  $w \notin S$ . Then  $S = V(S_n) \setminus \{w\} = V(\overline{K}_n + K_1) \setminus \{w\}$  is a 2- distance zero forcing set of  $S_n$  since  $d_{S_n}(w, y) = 2$  for some vertex  $y \in V(S_n) \setminus \{w\} = S$ . That is,  $w$  can be 2-forced by  $y \in V(S_n) \setminus \{w\} = S$ . However, this is a contradiction to our assumption that  $S$  is a failed 2-distance zero forcing set. Hence, (a) holds. Let  $v \notin S$ , then it is clearly that  $S \subseteq V(\overline{K}_n)$ . Thus, (b) also holds.

Conversely, if (a) holds. Then the remaining at least two vertices in  $V(S_n) \setminus S$  cannot be 2-forced by  $S$ . Thus,  $S$  is a failed 2-distance zero forcing set. Similarly, the same assertion follows when (b) holds.  $\square$

Since the failed 2-distance zero forcing number was defined with respect to the maximality of its corresponding set, the following result follows immediately from Theorem 3.

**Corollary 2.** Let  $n$  be a positive integer. Then  $F^2(S_n) = n$  for all  $n \geq 1$ .

## 5. Failed 2-Distance Zero Forcing in the Join of two Graphs

We shall define the following definition to study the behavior of failed 2-distance zero forcing sets in the join of two graphs.

**Definition 2.** Let  $G$  be a simple and undirected graph. Then a co-color change rule is defined as follows: If a vertex  $x \in V(G)$  is colored (active) and has exactly one non-neighbor  $y$  is uncolored (inactive), then  $y$  will become colored (active). In this case, we say that a vertex  $y$  is co-forced by a vertex  $x$  in  $G$ . A subset  $B$  of a vertex-set  $V(G)$  of  $G$  is called a co-zero forcing set if repeatedly applying the co-color change rule on a set  $B$ , the whole vertex-set of  $G$  becomes colored (active). Moreover, a subset  $S$  of  $V(G)$  is called a failed co-zero forcing set of  $G$  if  $S$  is not a co-zero forcing set of  $G$ . The maximum cardinality of a failed co-zero forcing set of  $G$ , denoted by  $F_{co}(G)$ , is called the failed co-zero forcing number of  $G$ .

We shall now characterize the failed 2-distance zero forcing sets in the join of two graphs as follows:

**Theorem 4.** Let  $G$  and  $H$  be graphs. Then  $Q$  is a failed 2-distance zero forcing set of  $G+H$  if and only if  $Q$  satisfies one of the following conditions.

- (i)  $Q \subseteq V(G)$ .
- (ii)  $Q \subseteq V(H)$ .
- (iii)  $Q = Q_G \cup Q_H$  such that  $Q_G$  or  $Q_H$  is failed a co-zero forcing set in  $G$  and  $H$ , respectively.

*Proof.* Suppose that  $Q$  is a failed 2-distance zero forcing set of  $G+H$ . Then  $Q \neq V(G+H)$ . Thus, either  $Q \subseteq V(G)$ ,  $Q \subseteq V(H)$  or  $Q = Q_G \cup Q_H$ , where  $Q_G \subseteq V(G)$  and  $Q_H \subseteq V(H)$ , it follows that (a) and (b) hold. Assume that  $Q_G$  is a co-zero forcing set of  $G$ . Then by repeatedly applying the co-color change rule on  $Q_G$ , all other vertices in  $V(G) \setminus Q_G$  will become colored. Suppose on the contrary that  $Q_H$  is a co-zero forcing set of  $H$ . Then by repeatedly applying the co-color change rule on  $Q_H$ , all other vertices in  $V(H) \setminus Q_H$  will be 2-forced. It follows that  $Q$  is a 2-distance zero forcing set of  $G+H$ , which is a contradiction. Similarly, when  $Q_H$  is a co-zero forcing set of  $H$ , then  $Q_G$  must be a failed co-zero forcing set of  $G$ . Thus, (c) holds.

Conversely, if (a) holds. Then  $V(H)$  cannot be 2-forced. Hence,  $Q \subseteq V(G) \subseteq V(G+H)$  is a failed 2-distance zero forcing of  $G+H$ . Similarly, when (b) holds, then  $Q \subseteq V(H) \subseteq V(G+H)$  is a failed 2-distance zero forcing of  $G+H$ . Now, suppose that (c) holds. If  $Q_G$  is failed a co-zero forcing set of  $G$ , then there exist  $y \in V(G) \setminus Q_G$  such that  $y$  cannot be co-forced. It follows that  $y \in V(G+H) \setminus Q$  cannot be 2-forced. Thus,  $Q$  is a failed 2-distance zero forcing set of  $G$ . Similarly, when  $Q_H$  is failed a co-zero forcing set of  $H$ , then  $Q$  is a failed 2-distance zero forcing of  $G+H$ . Moreover,  $Q$  is a failed 2-distance zero forcing set of  $G+H$  whenever  $Q_G$  and  $Q_H$  are both failed co-zero forcing sets of  $G$  and  $H$ , respectively.  $\square$

The following result follows from Theorem 4.

**Corollary 3.** Let  $G$  and  $H$  be graphs. Then

$$F^2(G+H) = \max\{|V(G)| + F_{co}(H), |V(H)| + F_{co}(G)\}.$$

## 6. Conclusion

The concept of failed 2-distance zero forcing in a graph has been introduced and investigated in this paper. Characterizations of failed 2-failed zero forcing sets in some special graphs and the join of any two graphs are formulated, and were used to derive some formulas of the parameter. Interested researchers may further study this concept on graphs which were not considered in this study. Providing real-life applications of the parameter and studying its complexity could also be an interesting cases to be considered by researchers.

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